

On gauge-dependence of gravitational waves from 1st-order phase transitions

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based on

Cheng-Wei Chiang (Natl Taiwan U), E.S., arXiv: 1707.06765 (PLB)

Outline

- Introduction
 - Gauge-dependence (ξ) of the effective potential
- Impact of ξ on 1st-order phase transition in classical scale-inv. U(1) models: T_N , GW
- Summary

Introduction

- 1st-order phase transition (PT) has interesting physical implications:

Electroweak Baryogenesis, Gravitational Waves (GW), etc.

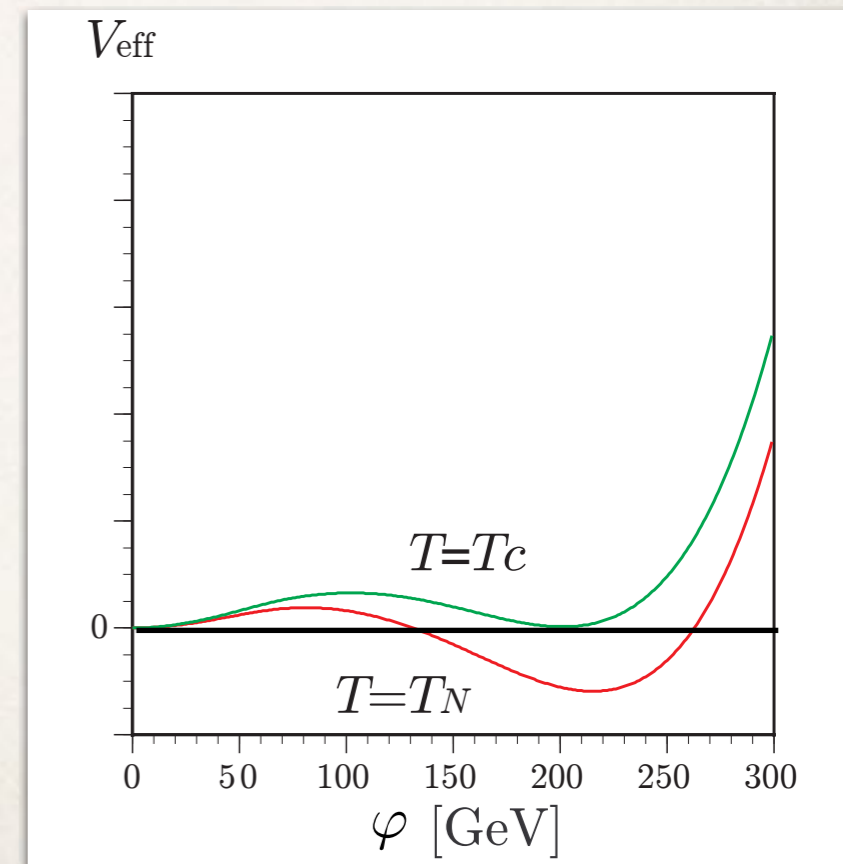
- Mostly, effective potential is used for such calculations.

1st-order PT

problem

- Effective potential inherently depends on gauge-fixing parameter (ξ).
- Nucleation temperature (T_N), GW can be ξ dependent.

Q. How (numerically) serious?



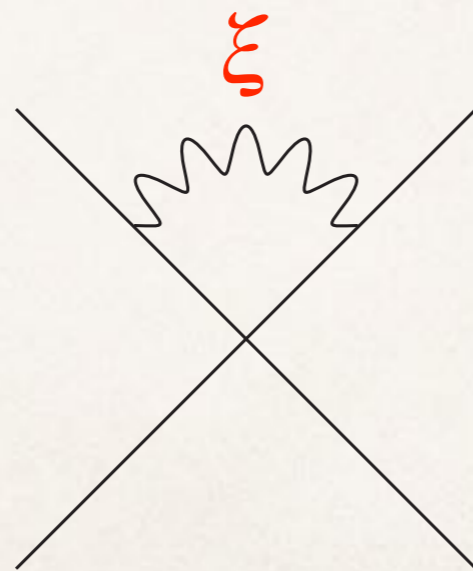
Thorny problem

Effective potential is
gauge dependent!!

Jackiw, PRD9,1686 (1974)

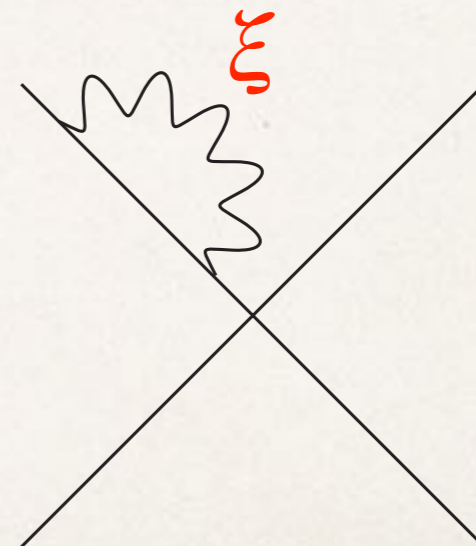
Because

$V_{\text{eff}} \ni$



1PI diagrams only

$\not\ni$



Leg corrections are needed to remove the ξ dependence.

Gauge dependence of V_{eff}

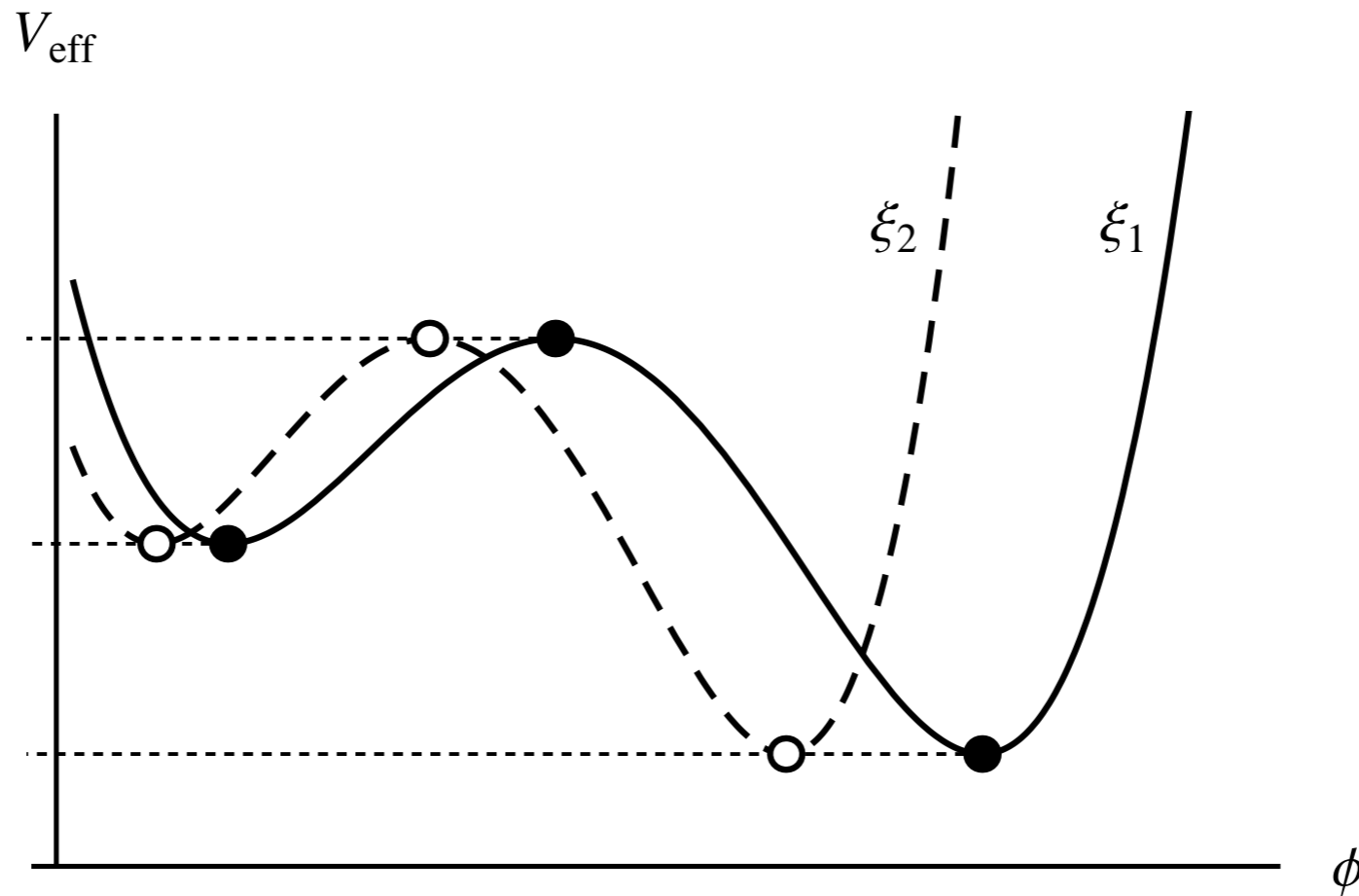


Fig. taken from H. Patel and M. Ramsey-Musolf, JHEP,07(2011)029

- Generally, VEV depends on a gauge parameter ξ
- Energies at stationary points do not depend on ξ

$$\frac{\partial V_{\text{eff}}}{\partial \xi} = C(\varphi, \xi) \frac{\partial V_{\text{eff}}}{\partial \varphi} \quad (\text{Nielsen-Fukuda-Kugo (NFK) identity})$$

Abelian-Higgs model

~ an example ~

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + |D_{\mu}\Phi|^2 - V(|\Phi|^2),$$

$$F_{\mu\nu} = \partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu}, \quad D_{\mu}\Phi = (\partial_{\mu} - ieA_{\mu})\Phi,$$

$$V(|\Phi|^2) = -\nu^2|\Phi|^2 + \frac{\lambda}{4}|\Phi|^4, \quad \Phi(x) = \frac{1}{\sqrt{2}}(v + h(x) + iG(x)).$$

gauge boson: $D_{\mu\nu}^{-1}(k) = (-k^2 + \bar{m}_A^2)\Pi_{\mu\nu}^T(k) + \frac{1}{\xi}(-k^2 + \xi\bar{m}_A^2)\Pi_{\mu\nu}^L(k),$

$$\Pi_{\mu\nu}^T(k) = \left(g_{\mu\nu} - \frac{k_{\mu}k_{\nu}}{k^2}\right), \quad \Pi_{\mu\nu}^L(k) = \frac{k_{\mu}k_{\nu}}{k^2},$$

NG boson: $\Delta_G^{-1}(k) = k^2 - \bar{m}_G^2 - \xi\bar{m}_A^2$

ghost: $\Delta_c^{-1}(k) = i(k^2 - \xi\bar{m}_A^2)$

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1-loop effective potential

e.g., Abelian-Higgs model

$$\begin{aligned}\mu^\epsilon V_1^{A+G+c}(\varphi, \xi) &= -\frac{i}{2}\mu^\epsilon \int \frac{d^D k}{(2\pi)^D} \left[(D-1) \ln(-k^2 + \bar{m}_A^2) + \ln(-k^2 + \xi \bar{m}_A^2) \right. \\ &\quad \left. + \ln(-k^2 + \xi \bar{m}_A^2) + \ln\left(1 + \frac{\bar{m}_G^2}{-k^2 + \xi \bar{m}_A^2}\right) \right. \\ &\quad \left. - 2 \ln(-k^2 + \xi \bar{m}_A^2) \right] \\ &= -\frac{i}{2}\mu^\epsilon \int \frac{d^D k}{(2\pi)^D} \left[(D-1) \ln(-k^2 + \bar{m}_A^2) + \ln\left(1 + \frac{\bar{m}_G^2}{-k^2 + \xi \bar{m}_A^2}\right) \right].\end{aligned}$$

ξ -dependence disappears at $\bar{m}_G^2(\varphi = v) = 0$, $\left. \frac{\partial V_0}{\partial \varphi} \right|_{\varphi=v} = 0$

NFK identity at 1-loop level:

$$\frac{\partial V_1(\varphi, \xi)}{\partial \xi} = C(\varphi, \xi) \frac{\partial V_0(\varphi)}{\partial \varphi}$$

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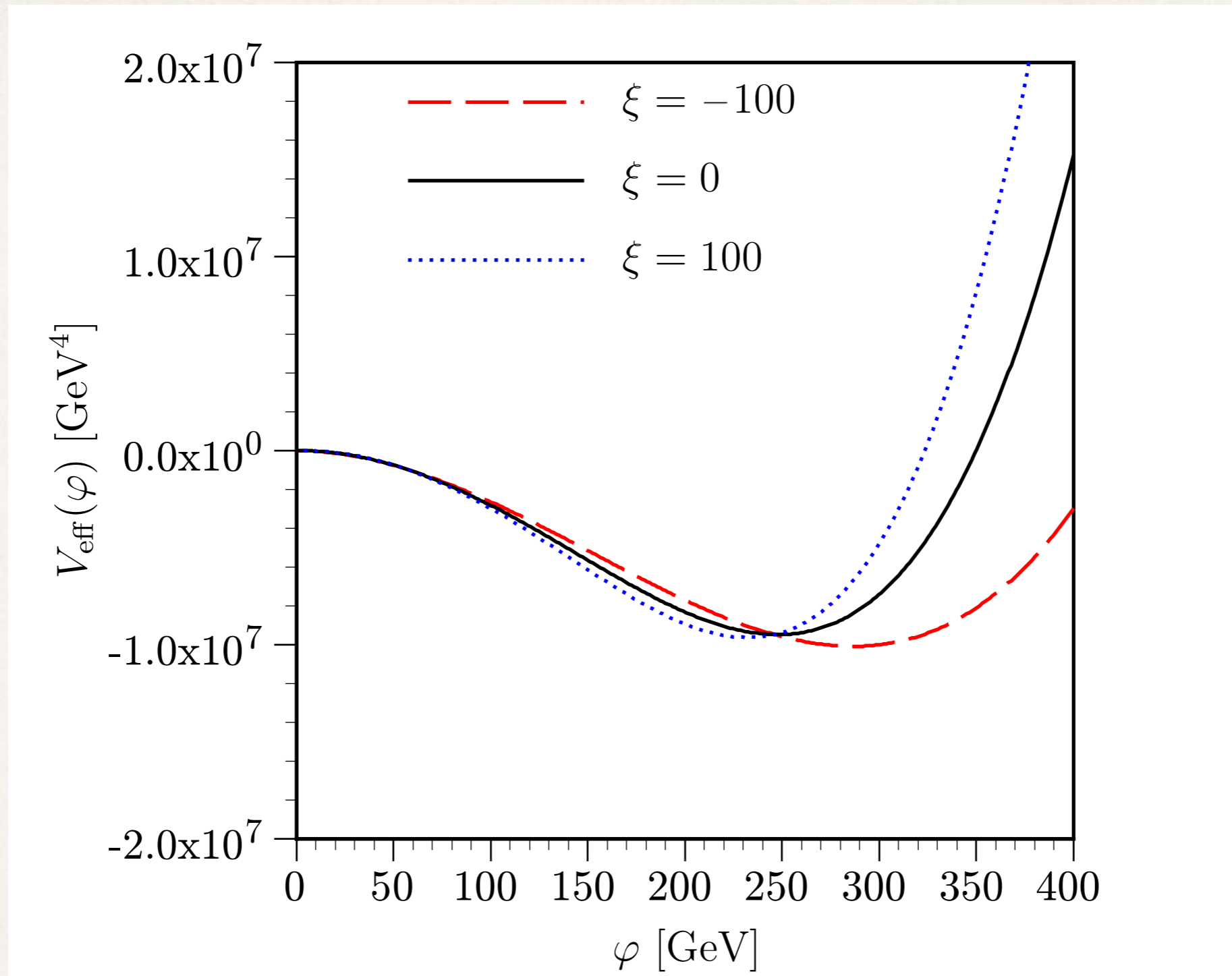
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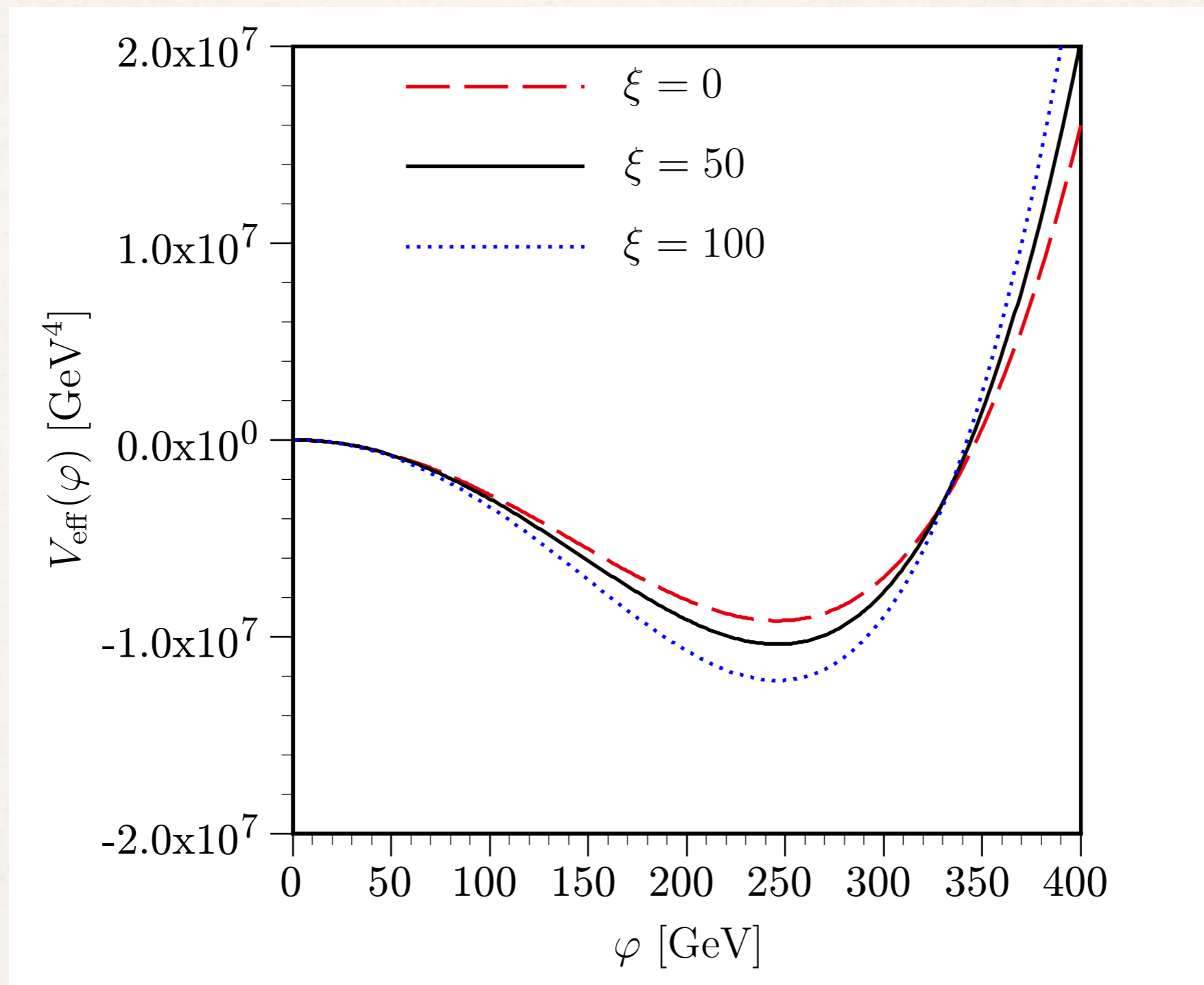
1-loop Tree

Plotting $V_{\text{eff}}=V_0+V_1$,



No ξ -dependence at $\frac{\partial V_0}{\partial \varphi} = 0$ but it is no longer a minimum at 1-loop level.

When 1-loop minimization condition is imposed, $\frac{\partial(V_0 + V_1)}{\partial\varphi} = 0$



Energy at $\phi = 246$ GeV depends on ξ !!

1-loop effective potential at $T \neq 0$

$$V_1(\varphi, \xi; T) = \sum_i \frac{T^4}{2\pi^2} I_B(a_i^2),$$

where

$$I_B(a^2) = \int_0^\infty dx x^2 \ln \left[1 - e^{-\sqrt{x^2 + a^2}} \right].$$

Using a high- T expansion of $I_B(a^2)$, one gets

$$\begin{aligned} & V_1(\varphi, \xi) + V_1(\varphi, \xi; T) \\ &= \frac{T^2}{24} (\bar{m}_h^2 + \bar{m}_G^2 + 3\bar{m}_A^2) - \frac{T}{12\pi} \left[(\bar{m}_h^2)^{3/2} + (\bar{m}_G^2 + \xi \bar{m}_A^2)^{3/2} + (3 - \xi^{3/2})(\bar{m}_A^2)^{3/2} \right] \\ &+ \frac{1}{64\pi^2} \left[\bar{m}_h^4 \ln \frac{\alpha_B T^2}{\bar{\mu}^2} + (\bar{m}_G^2 + \xi \bar{m}_A^2)^2 \ln \frac{\alpha_B T^2}{\bar{\mu}^2} + 3\bar{m}_A^4 \left(\ln \frac{\alpha_B T^2}{\bar{\mu}^2} + \frac{2}{3} \right) - (\xi \bar{m}_A^2)^2 \ln \frac{\alpha_B T^2}{\bar{\mu}^2} \right]. \end{aligned}$$

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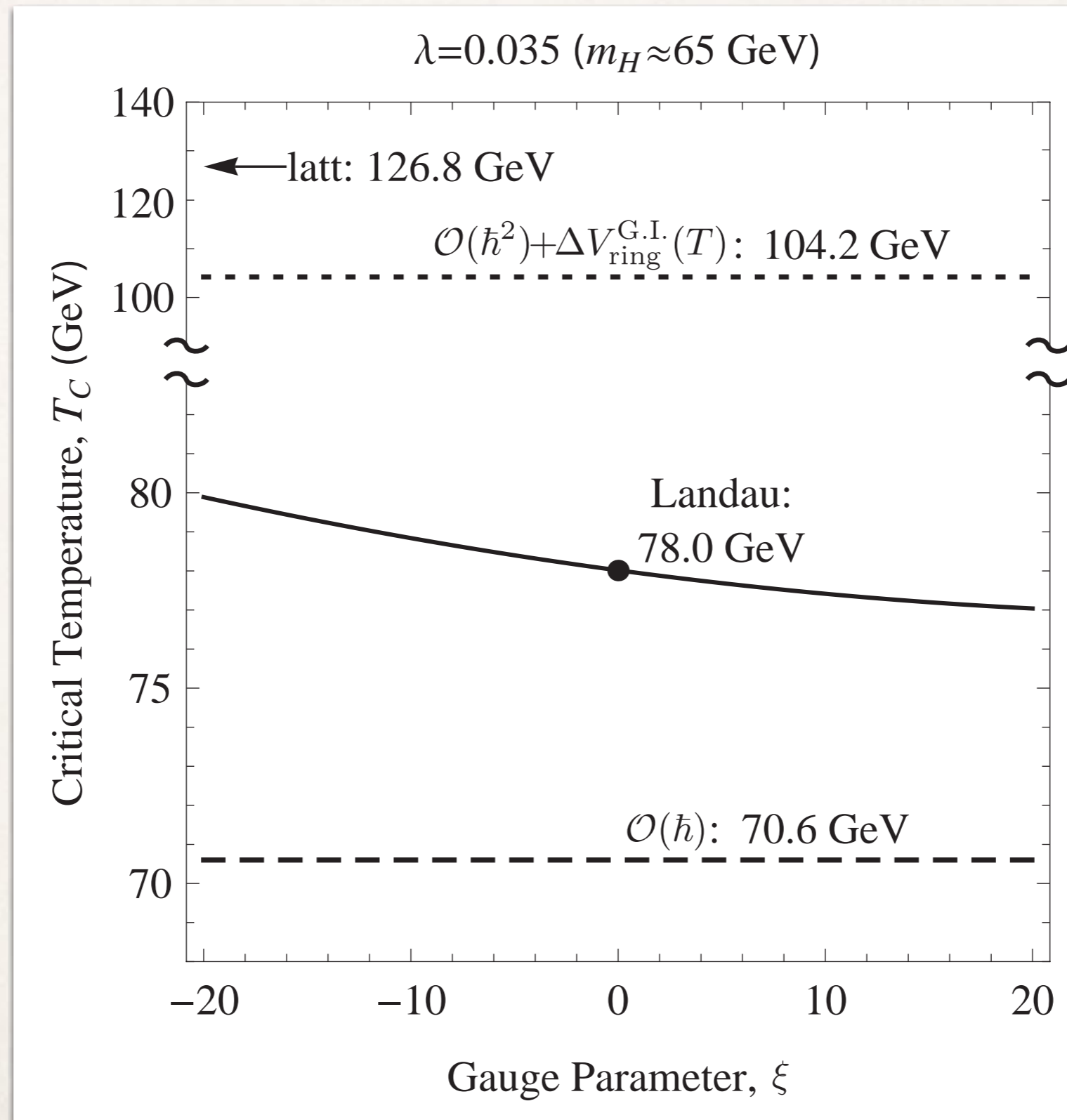
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V_{eff} at $T \neq 0$ also depends on ξ except "T²-terms".

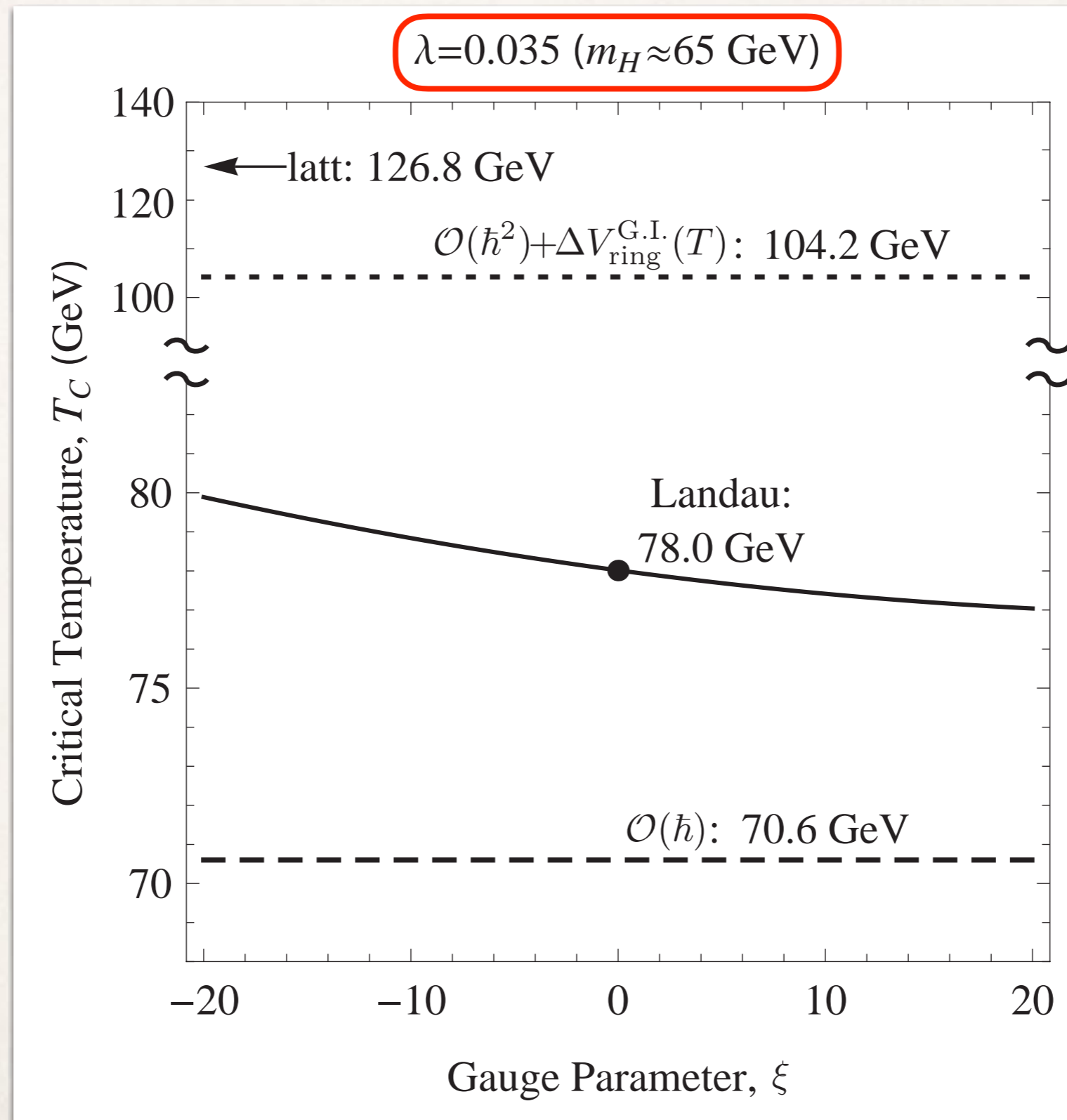
SM case

[H.Patel, M.Ramsey-Musolf, JHEP,07(2011)029]



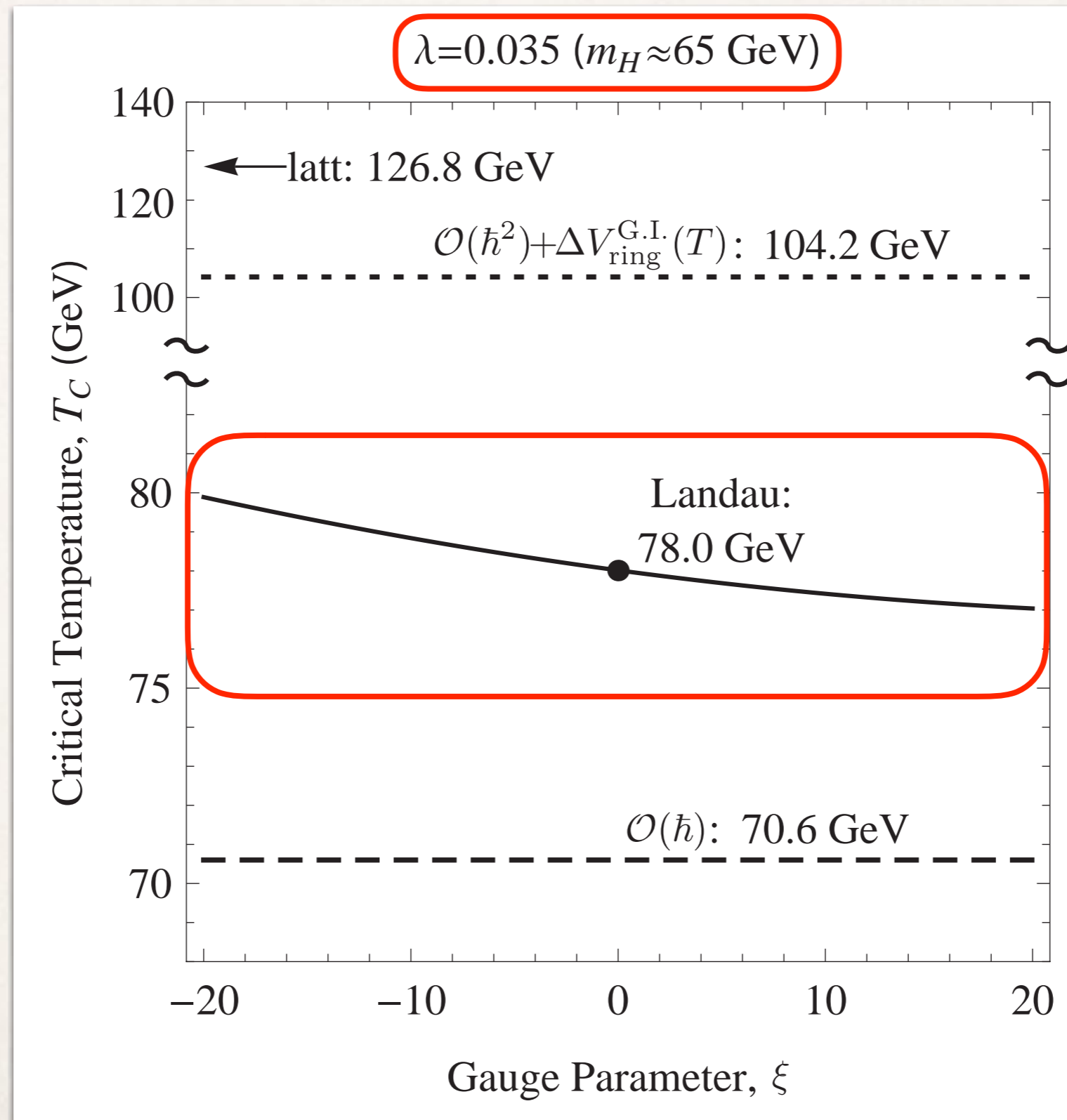
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Classical scale-inv. U(1) model

SM + U(1)' w/ scale symmetry

$$\mathcal{L} = \mathcal{L}_{\text{SM}'} - \frac{1}{4} Z'_{\mu\nu} Z'^{\mu\nu} + |D_\mu S|^2 - V(H, S)$$

$$Z'_{\mu\nu} = \partial_\mu Z'_\nu - \partial_\nu Z'_\mu, \quad D_\mu S = (\partial_\mu + ig' Q'_S Z'_\mu) S,$$

scalar potential

$$V(H, S) = \lambda_H (H^\dagger H)^2 + \lambda_{HS} H^\dagger H |S|^2 + \lambda_S |S|^4$$

singlet scalar field: $S(x) = \frac{1}{\sqrt{2}} (v_S + h_S(x) + iG(x))$

After U(1) is radiatively broken ($\langle S \rangle \neq 0$), EW symmetry is broken if $\lambda_{HS} < 0$. $m_h^2 = -\lambda_{HS} v_S^2 \rightarrow -\lambda_{HS} = m_h^2 / v_S^2 = \mathcal{O}(10^{-3})$

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Classical scale-inv. U(1) model

ξ dependence is different from the massive U(1) model case.

$$V_{\text{eff}}(\varphi_S) = \frac{\lambda_S}{4} \varphi_S^4 + 3 \frac{\bar{m}_{Z'}^4}{64\pi^2} \left(\ln \frac{\bar{m}_{Z'}^2}{\bar{\mu}^2} - \frac{5}{6} \right) + \frac{\bar{m}_{G,\xi}^4}{64\pi^2} \left(\ln \frac{\bar{m}_{G,\xi}^2}{\bar{\mu}^2} - \frac{3}{2} \right) - \frac{(\xi \bar{m}_{Z'}^2)^2}{64\pi^2} \left(\ln \frac{\xi \bar{m}_{Z'}^2}{\bar{\mu}^2} - \frac{3}{2} \right),$$

where $\bar{m}_{Z'}^2 = (g' Q'_S \varphi_S)^2$, $\bar{m}_{G,\xi}^2 = \lambda_S \varphi_S^2 + \xi \bar{m}_{Z'}^2$.

Minimization condition $\rightarrow \lambda_S = O(g'^4/16\pi^2)$

One gets

$$V_{\text{eff}}(\varphi_S) \simeq \frac{3\bar{m}_{Z'}^4}{64\pi^2} \left(\ln \frac{\varphi_S^2}{v_S^2} - \frac{1}{2} \right), \quad \xi \text{ independent!!}$$

- Finite-T 1-loop effective potential is also ξ independent.
- ξ dependence will appear from 2-loop order.

Classical scale-inv. U(1) model

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ξ dependence is different from the massive U(1) model case.

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where $\bar{m}_{Z'}^2 = (g' Q'_S \varphi_S)^2$, $\bar{m}_{G,\xi}^2 = \lambda_S \varphi_S^2 + \xi \bar{m}_{Z'}^2$.

Minimization condition $\rightarrow \lambda_S = O(g'^4/16\pi^2)$

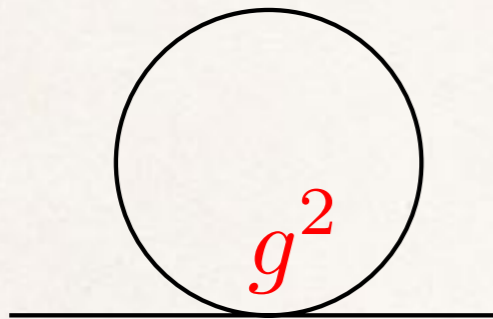
One gets

$$V_{\text{eff}}(\varphi_S) \simeq \frac{3\bar{m}_{Z'}^4}{64\pi^2} \left(\ln \frac{\varphi_S^2}{v_S^2} - \frac{1}{2} \right), \quad \xi \text{ independent!!}$$

- Finite-T 1-loop effective potential is also ξ independent.
- ξ dependence will appear from 2-loop order.

Thermal resummation

At high T



$$\sim g^2 T^2$$

Prescription

e.g. NG boson

$$\bar{m}_{G,\xi}^2 \rightarrow \bar{m}_{G,\xi}^2 + \Delta m_S^2$$

to leading order:
$$\Delta m_S^2 = \frac{(g' Q'_S)^2}{4} T^2$$

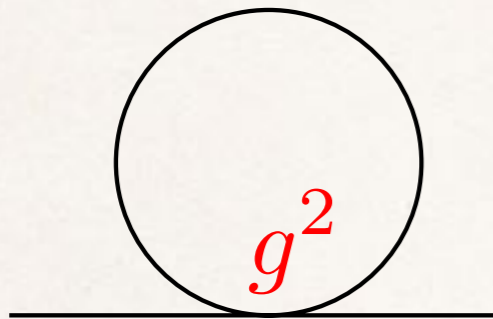
$$V_{\text{eff}}(\varphi_S; T)_{\xi\text{-part}} = \frac{(\xi \bar{m}_{Z'}^2 + \Delta m_S^2)^2}{64\pi^2} \left(\ln \frac{\xi \bar{m}_{Z'}^2 + \Delta m_S^2}{\bar{\mu}^2} - \frac{3}{2} \right) - \frac{(\xi \bar{m}_{Z'}^2)^2}{64\pi^2} \left(\ln \frac{\xi \bar{m}_{Z'}^2}{\bar{\mu}^2} - \frac{3}{2} \right) + \frac{T^4}{2\pi^2} \left[I_B \left(\frac{\xi \bar{m}_{Z'}^2 + \Delta m_S^2}{T^2} \right) - I_B \left(\frac{\xi \bar{m}_{Z'}^2}{T^2} \right) \right].$$

where I_B is a 1-loop thermal function.

V_{eff} is no longer ξ independent due to $\Delta m_S^2 \neq 0$

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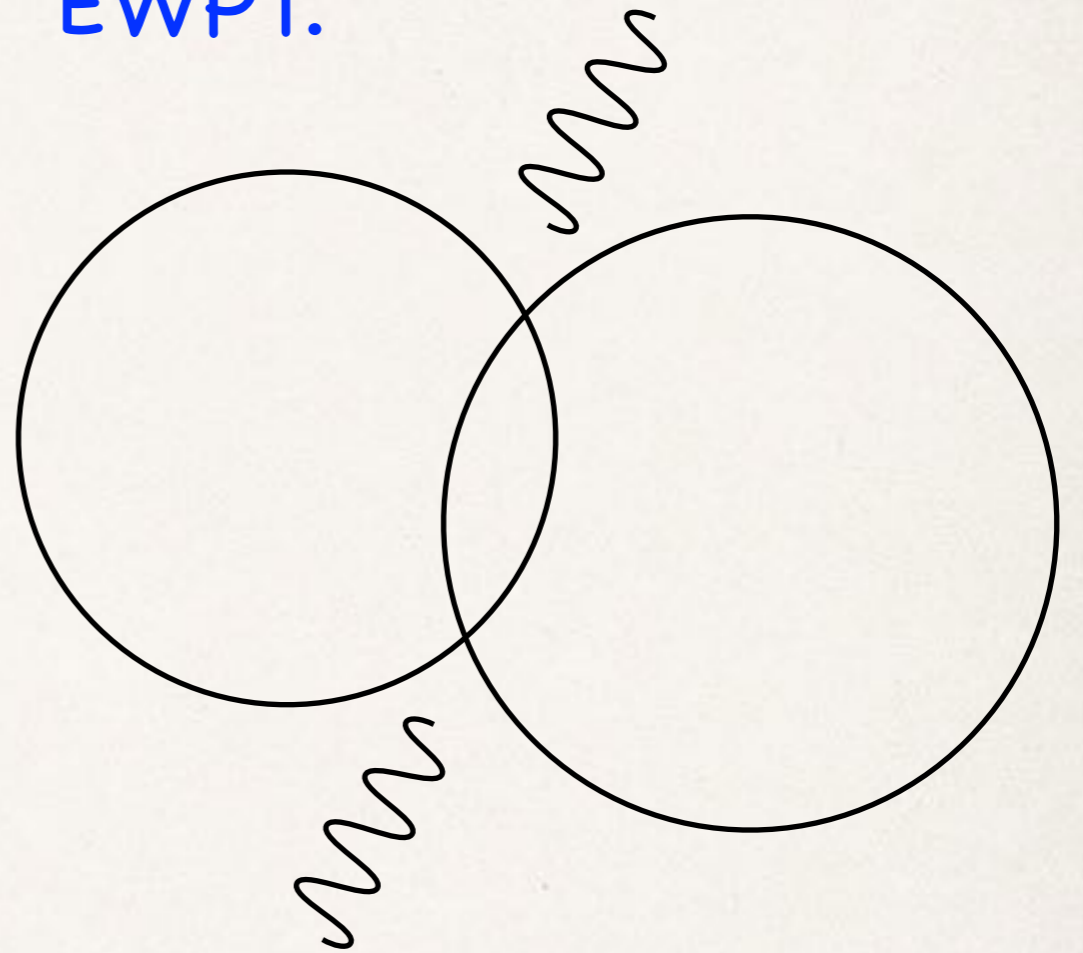
Gravitational Waves from 1st-order EWPT

GWs are induced by the 1st-order EWPT.

Sources of GW

- (1) Bubble collisions,
- (2) Sound waves,
- (3) Turbulence

See Ref. [C.Caprini et al, 1512.06239(JCAP)]



2 important parameters: [Grojean, Servant, hep-ph/0607107(PRD)]

latent heat (α), duration of PT (β)

$$\alpha \equiv \frac{\epsilon(T_*)}{\rho_{\text{rad}}(T_*)} \quad \text{and} \quad \beta \equiv H_* T_* \frac{d}{dT} \left(\frac{S_3(T)}{T} \right) \Big|_{T=T_*}, \quad \epsilon(T) = \Delta V_{\text{eff}} - T \frac{\partial \Delta V_{\text{eff}}}{\partial T} \quad \text{and} \quad \rho_{\text{rad}}(T) = \frac{\pi^2}{30} g_*(T) T^4,$$

Gravitational Waves from 1st-order EWPT

[C.Caprini et al, 1512.06239(JCAP)]

$$\Omega_{\text{GW}} h^2 = \Omega_{\text{col}} h^2 + \Omega_{\text{sw}} h^2 + \Omega_{\text{turb}} h^2$$

Dominant source is sound waves:

$$\Omega_{\text{sw}} h^2(f) = 2.65 \times 10^{-6} \tilde{\beta}^{-1} \left(\frac{\kappa_v \alpha}{1 + \alpha} \right)^2 \left(\frac{100}{g_*} \right)^{1/3} v_w \left(\frac{f}{f_{\text{sw}}} \right)^3 \left(\frac{7}{4 + 3(f/f_{\text{sw}})^2} \right)^{7/2},$$

$$f_{\text{sw}} = 1.9 \times 10^{-2} \text{ mHz} \frac{\tilde{\beta}}{v_w} \left(\frac{T_*}{100 \text{ GeV}} \right) \left(\frac{g_*}{100} \right)^{1/6}, \quad \tilde{\beta} = \frac{\beta}{H_*}$$

$$\kappa_v \simeq \alpha / (0.73 + 0.083\sqrt{\alpha} + \alpha) \text{ for } v_w \simeq 1.$$

- Most calculations of α & β in the literature depends on ξ .
- How much ξ dependence can affect GW?

Impact of ξ on T_N

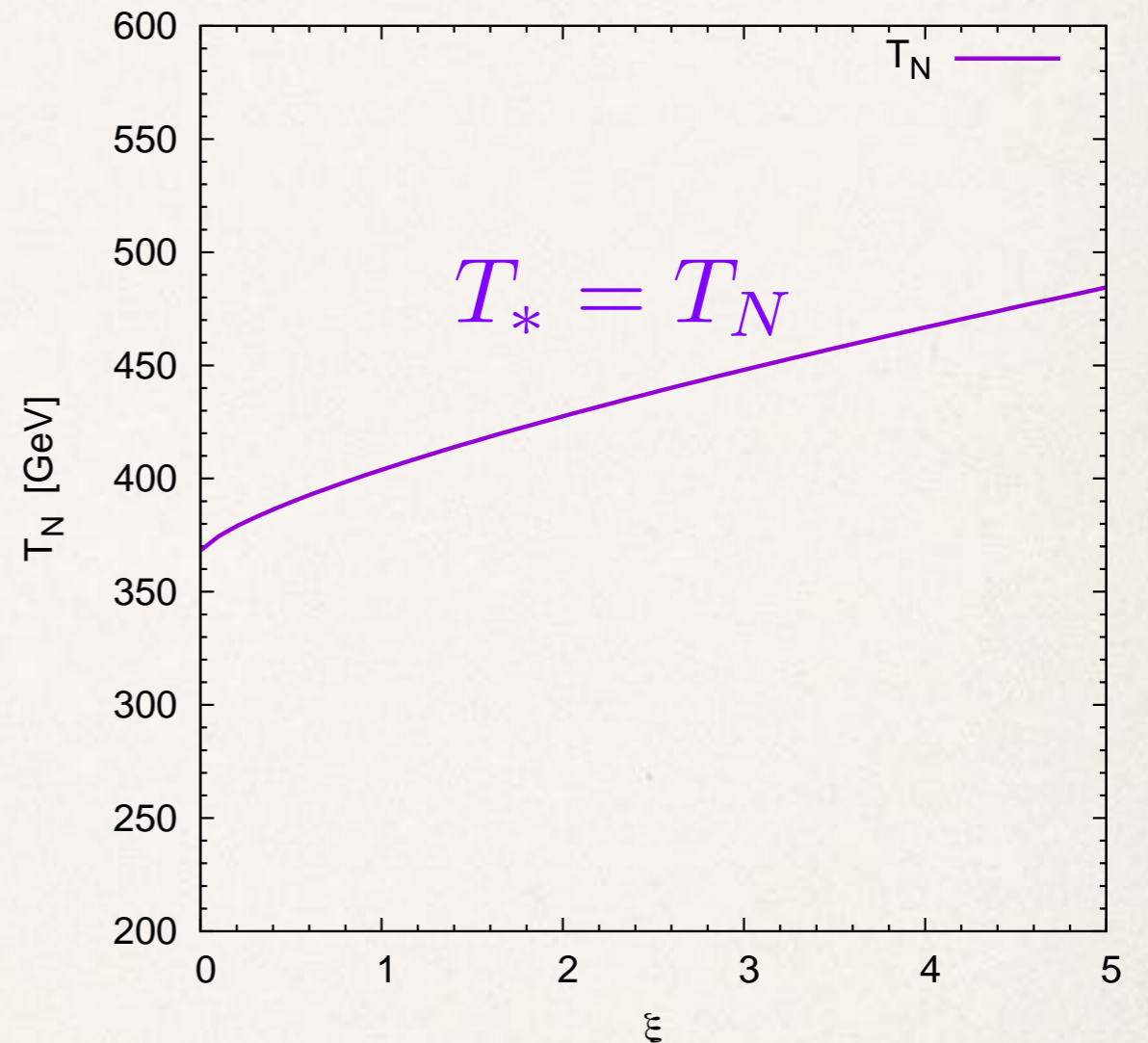
[Cheng-Wei Chiang, E.S., 1707.06765 (PLB)]

$Q'_S = 2$, $\alpha' = g'^2/4\pi = 0.015$, $m_{Z'} = 4.5$ TeV and $m_{\nu_{R1,2,3}} = 1.0$ TeV.

$$S_3 = 4\pi \int_0^\infty dr r^2 \left[\frac{1}{2} \left(\frac{d\phi_S}{dr} \right)^2 + V_{\text{eff}}(\phi_S; T) \right]$$

$$\frac{d^2\phi_S}{dr^2} + \frac{2}{r} \frac{d\phi_S}{dr} - \frac{\partial V_{\text{eff}}}{\partial\phi_S} = 0$$

$\lim_{r \rightarrow \infty} \phi_S(r) = 0$ and $d\phi_S(r)/dr|_{r=0} = 0$.



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$v_S(T_*)/T_*$	$5.181/0.328 = 15.8$	$5.181/0.368 = 14.1$	$5.180/0.405 = 12.8$	$5.163/0.490 = 10.5$
α	2.27	1.44	0.99	0.48
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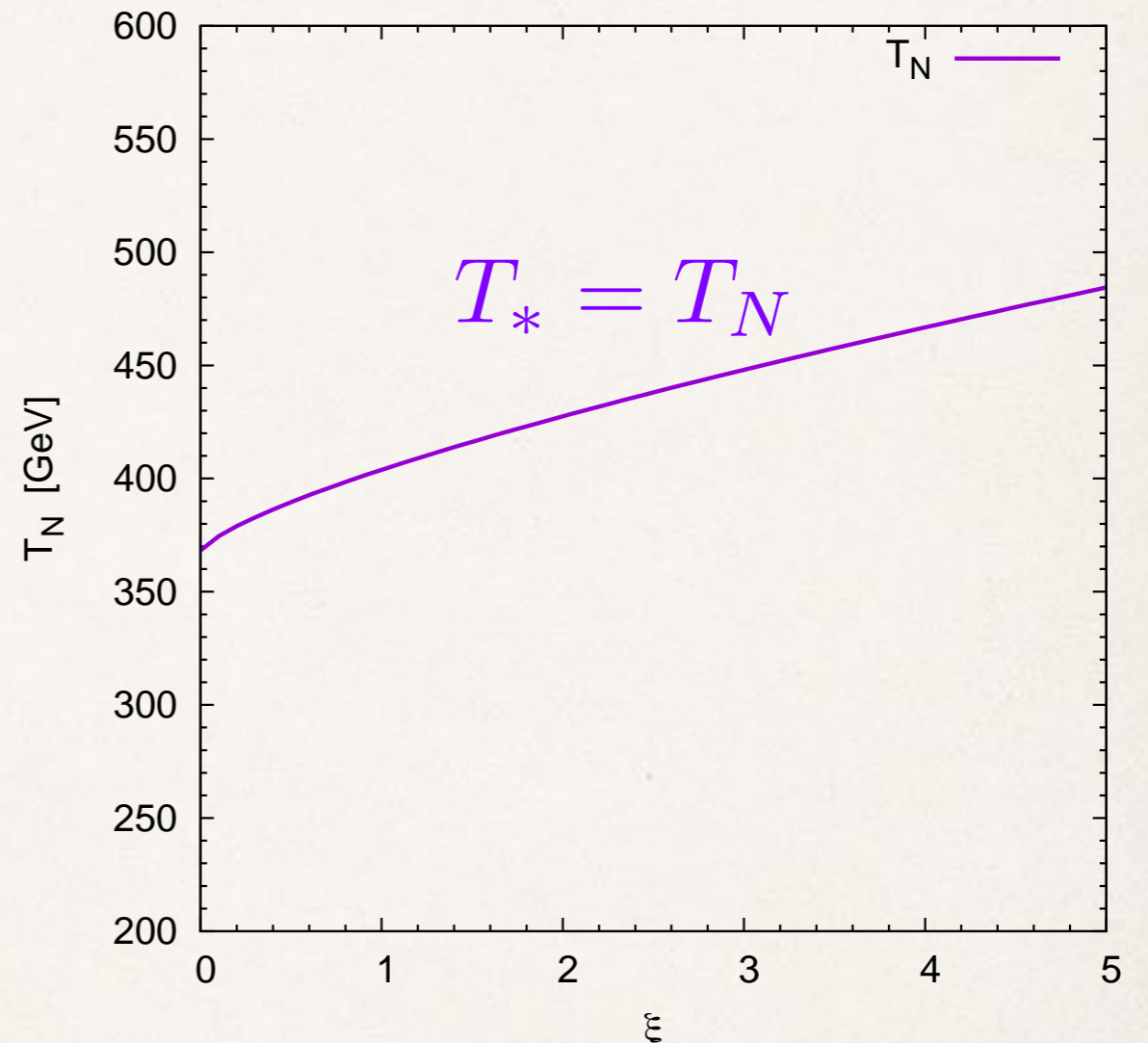
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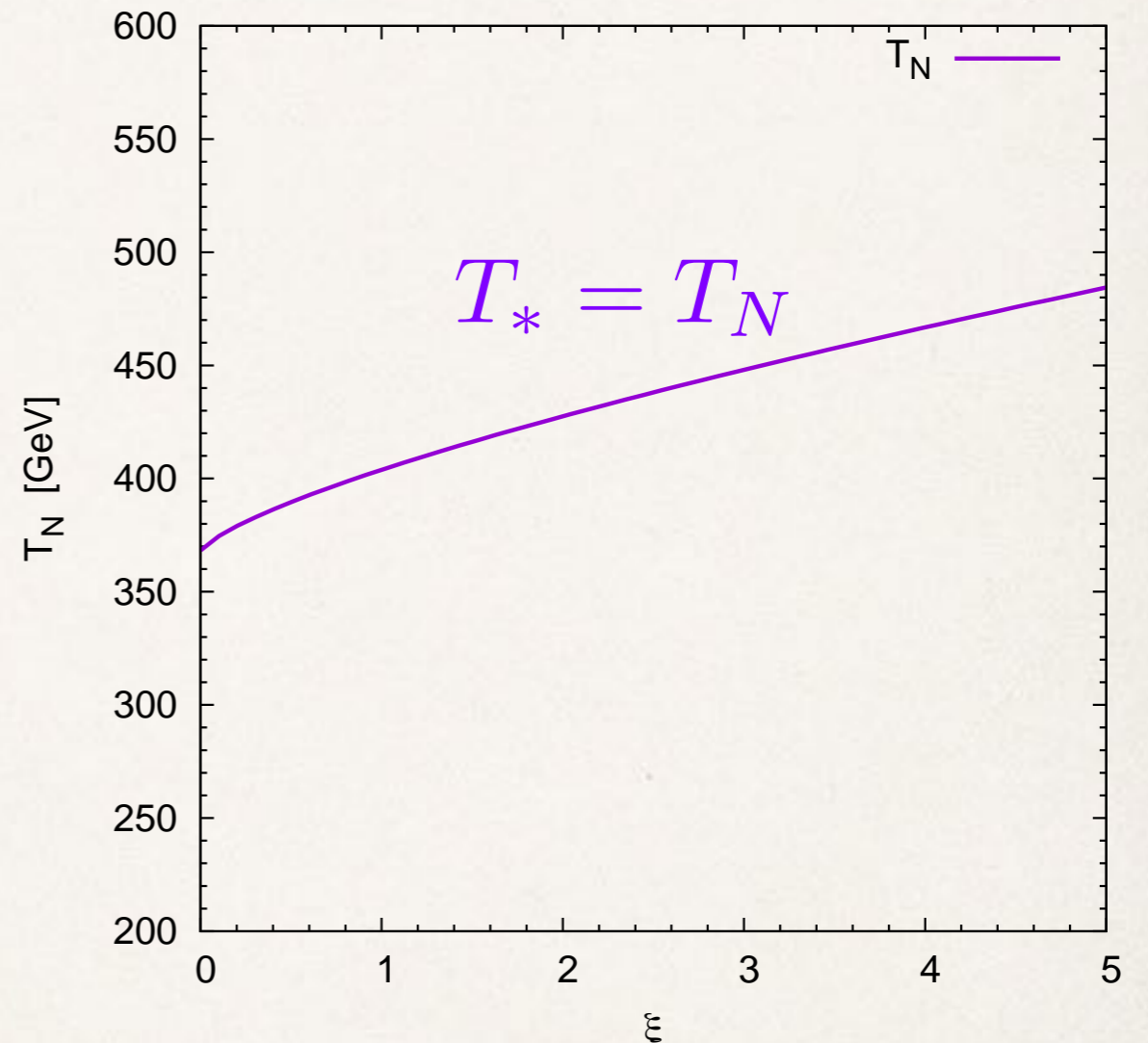
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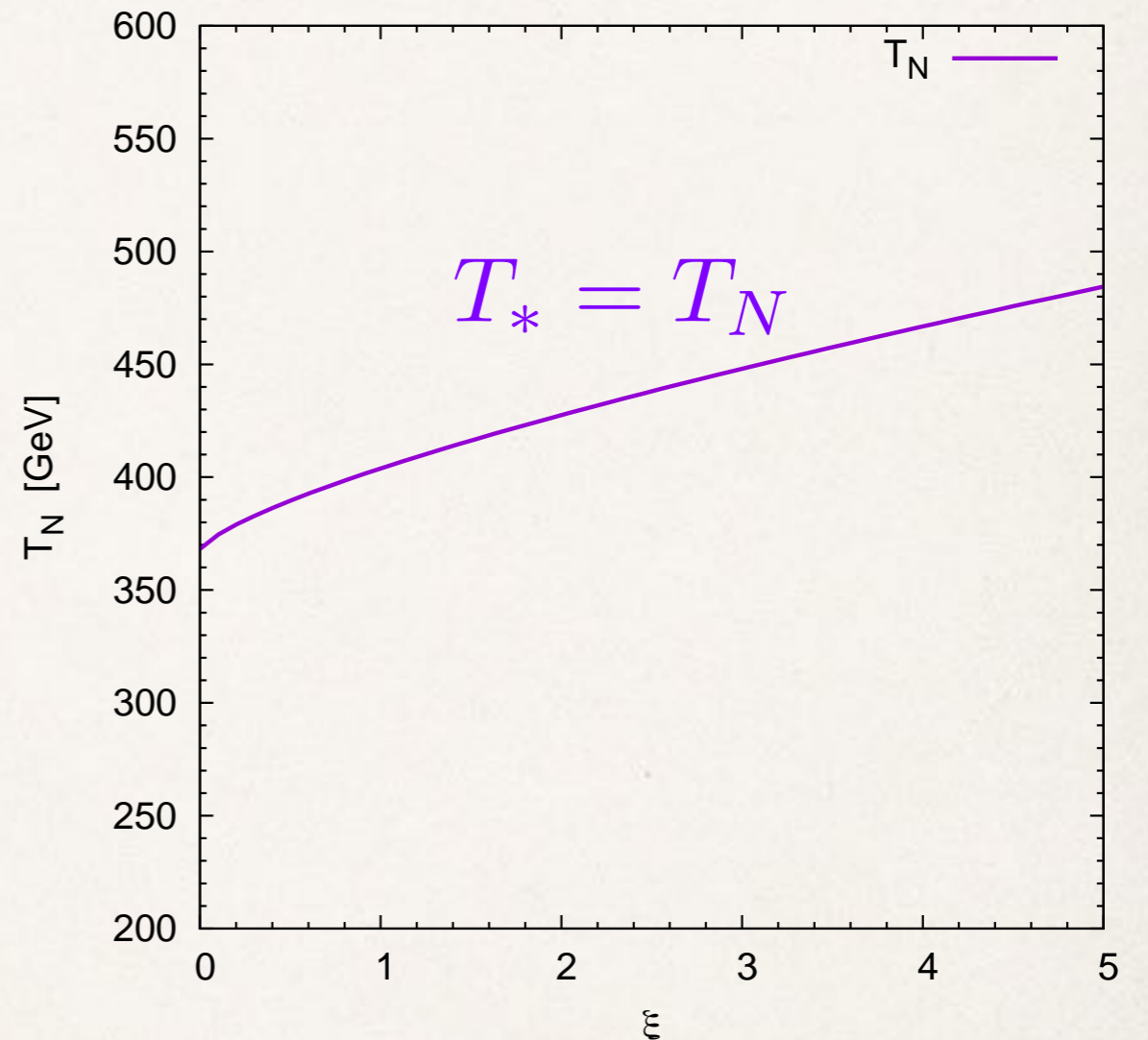
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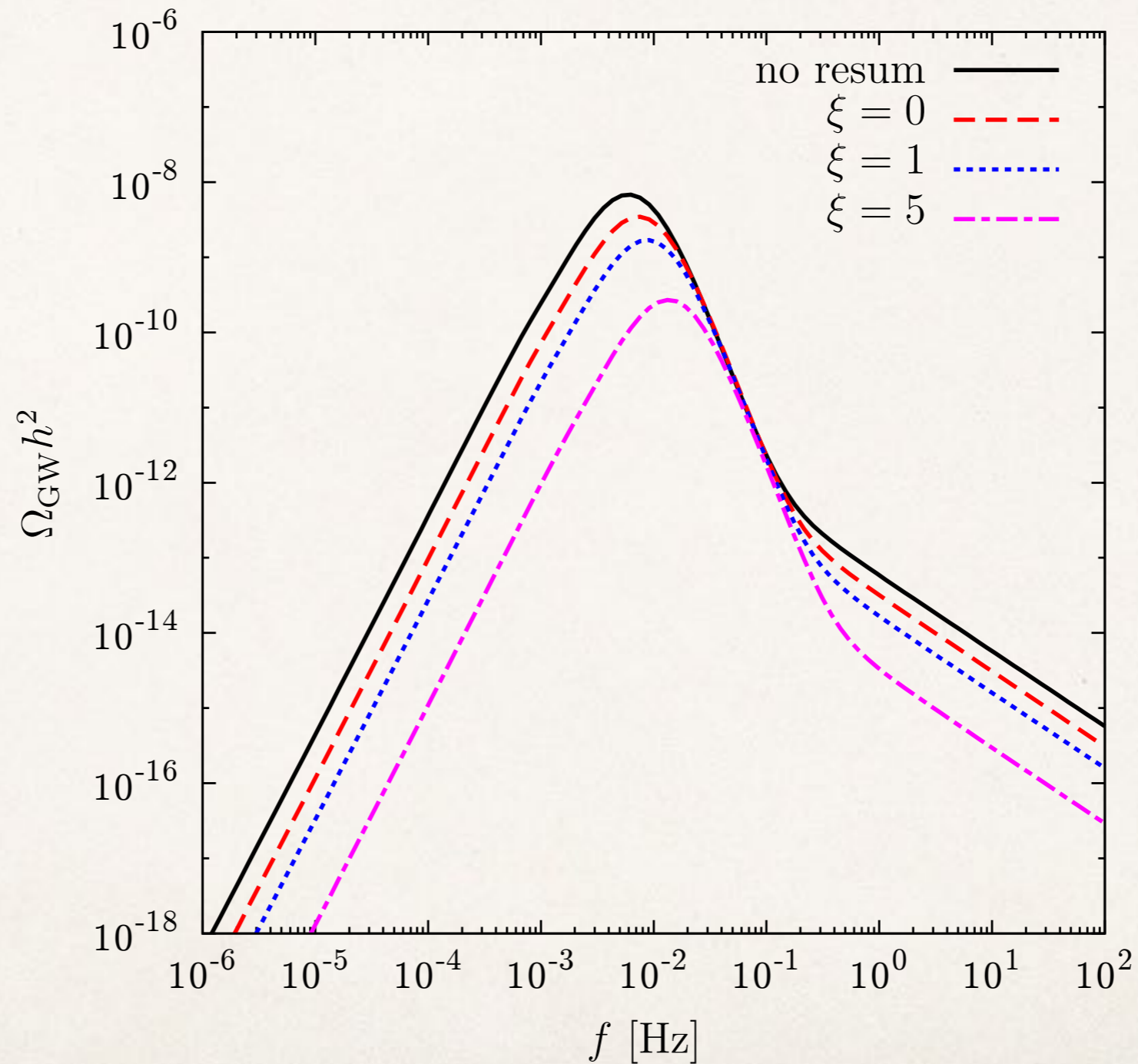
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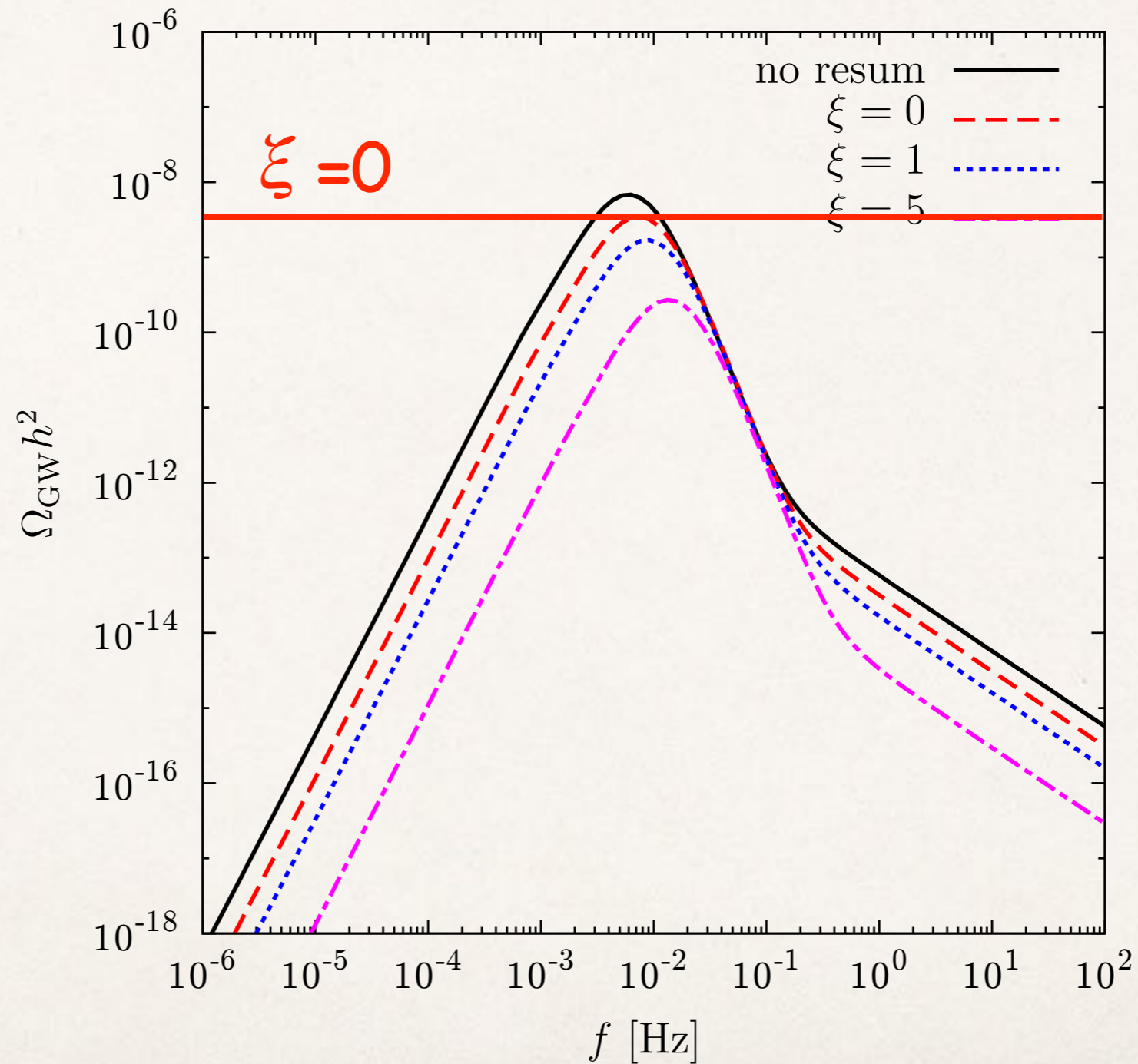


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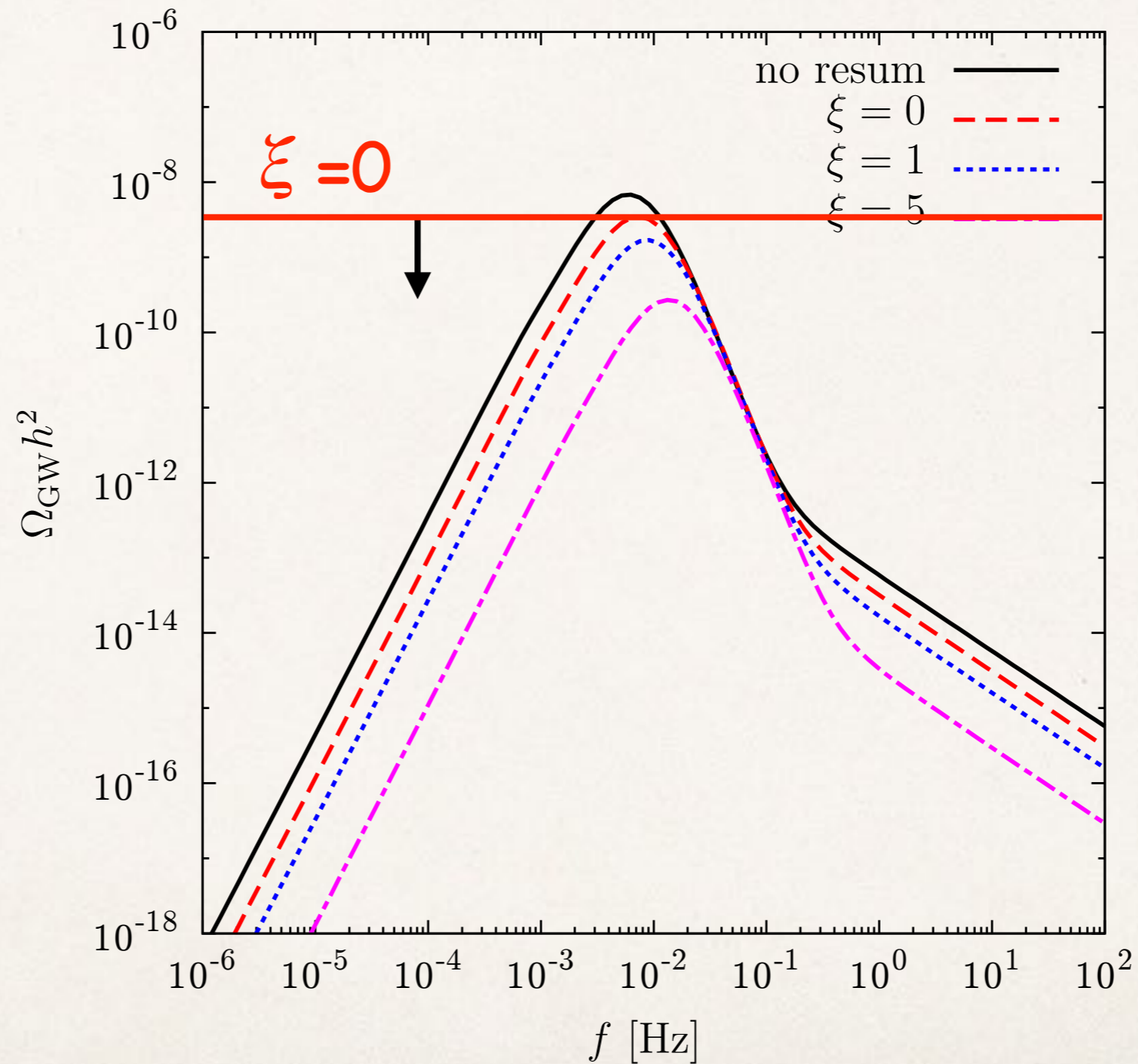
Impact of ξ on gravitational wave



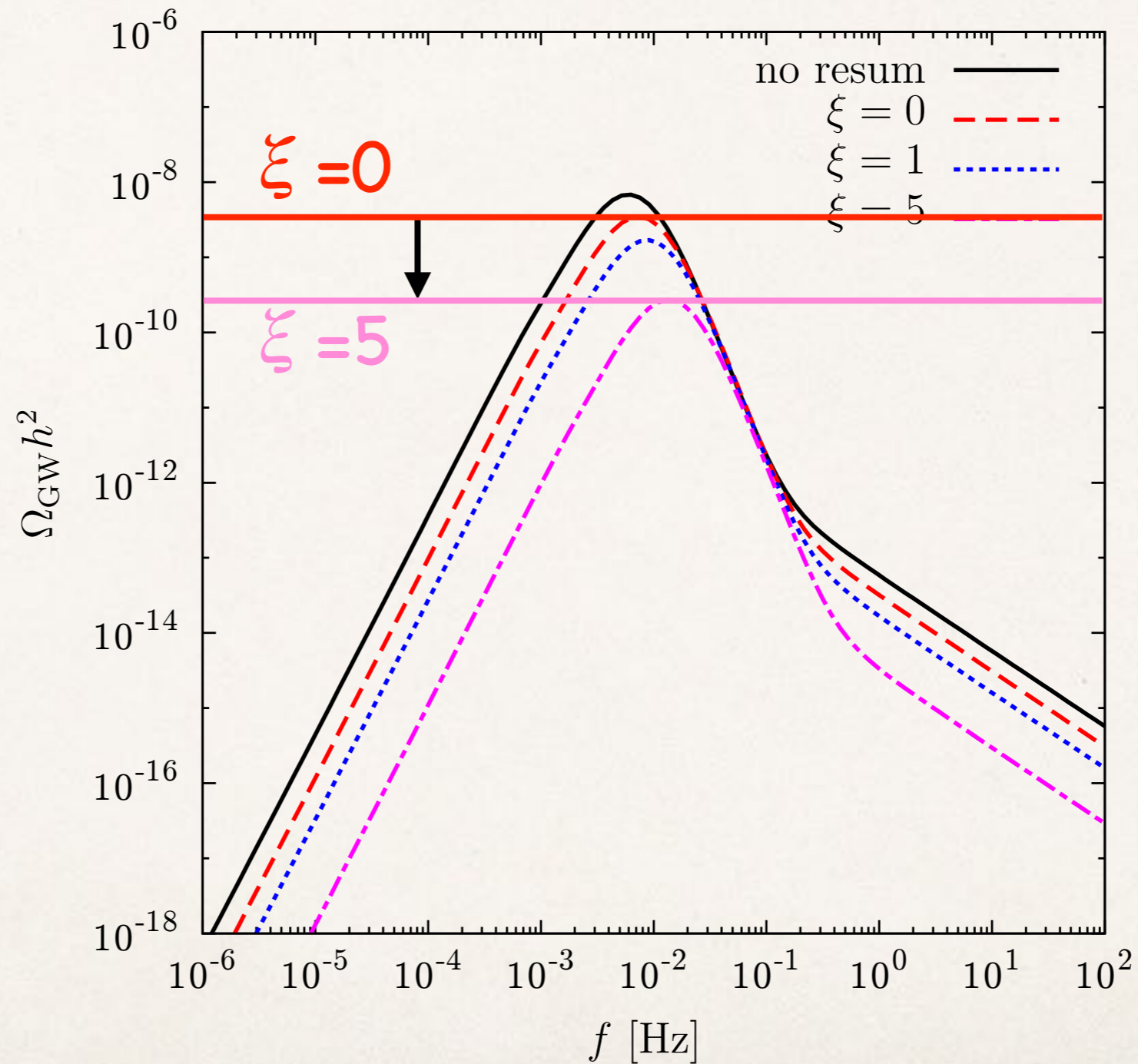
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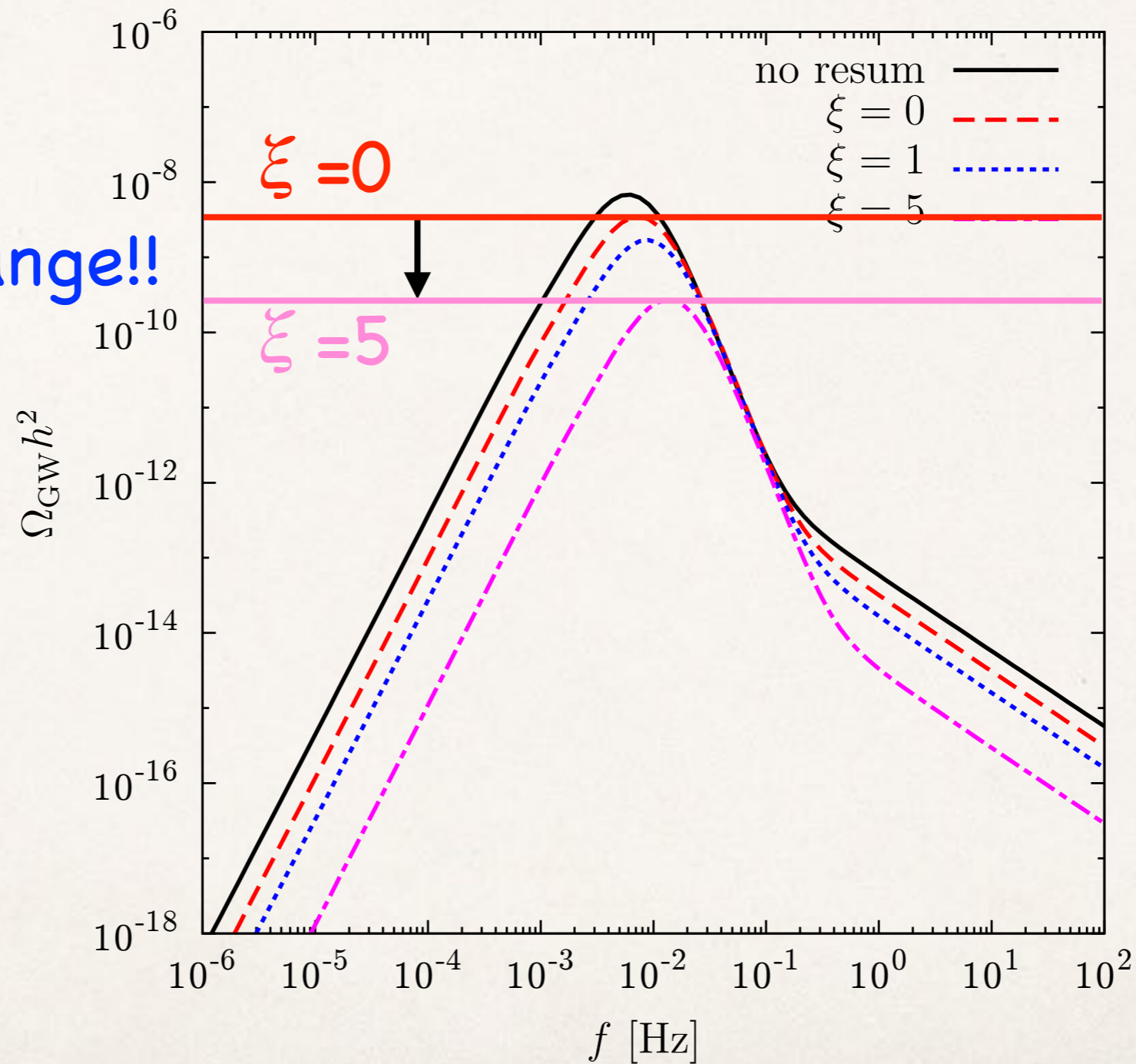


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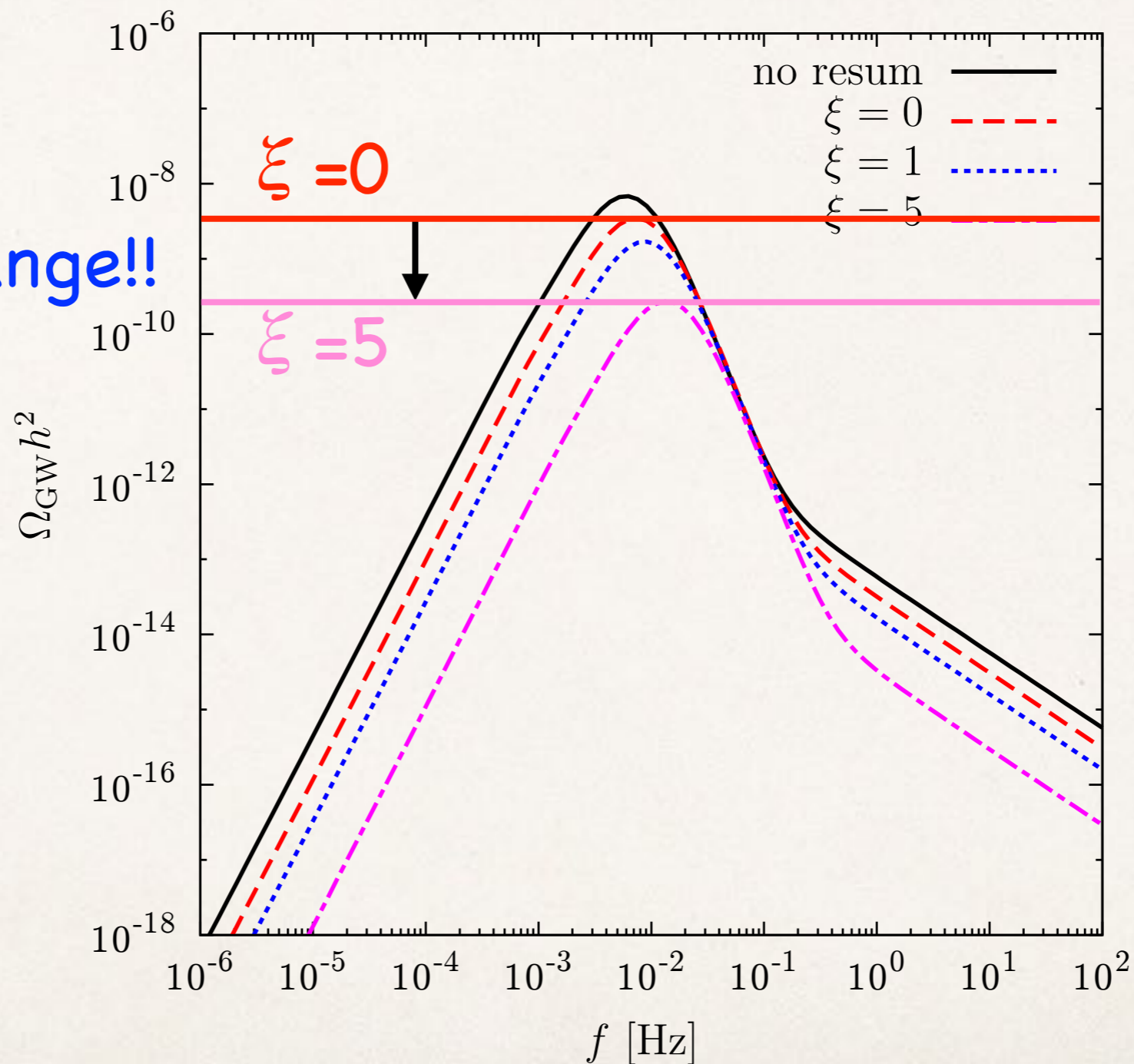
Impact of ξ on gravitational wave

~ 1 order change!!



Impact of ξ on gravitational wave

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ξ dependence of V_{eff} propagates to GW spectrum significantly!

Summary

- We have evaluated the gauge fixing parameter (ξ) dependence on GW from the 1st-order phase transitions.
- Effective potential is ξ dependent.
- Such ξ dependence can propagate to nucleation temperature and eventually gravitational waves.
 Ω_{GW} can change $O(1)$ in magnitude varying $\xi = 0-5$.
- Gauge-inv. method with consistent thermal resummation is necessary to get reliable results.

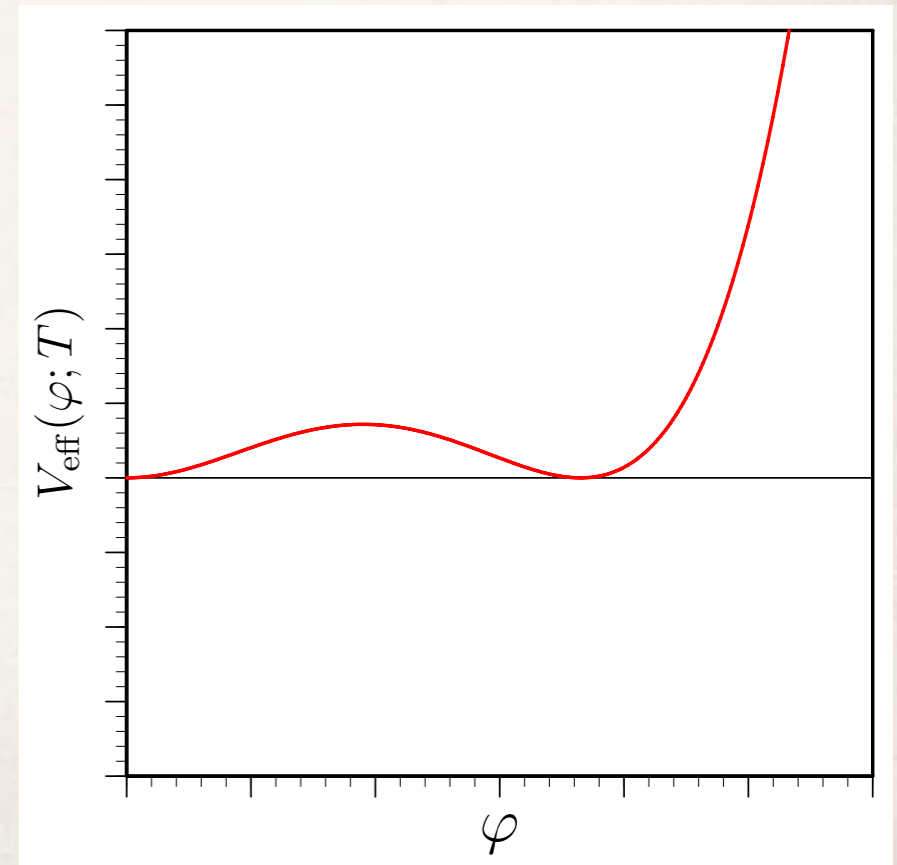
Backup

1-loop effective potential $T \neq 0$

Using a high- T expansion, one gets

$$\begin{aligned}
 & V_1(\varphi, \xi) + V_1(\varphi, \xi; T) \\
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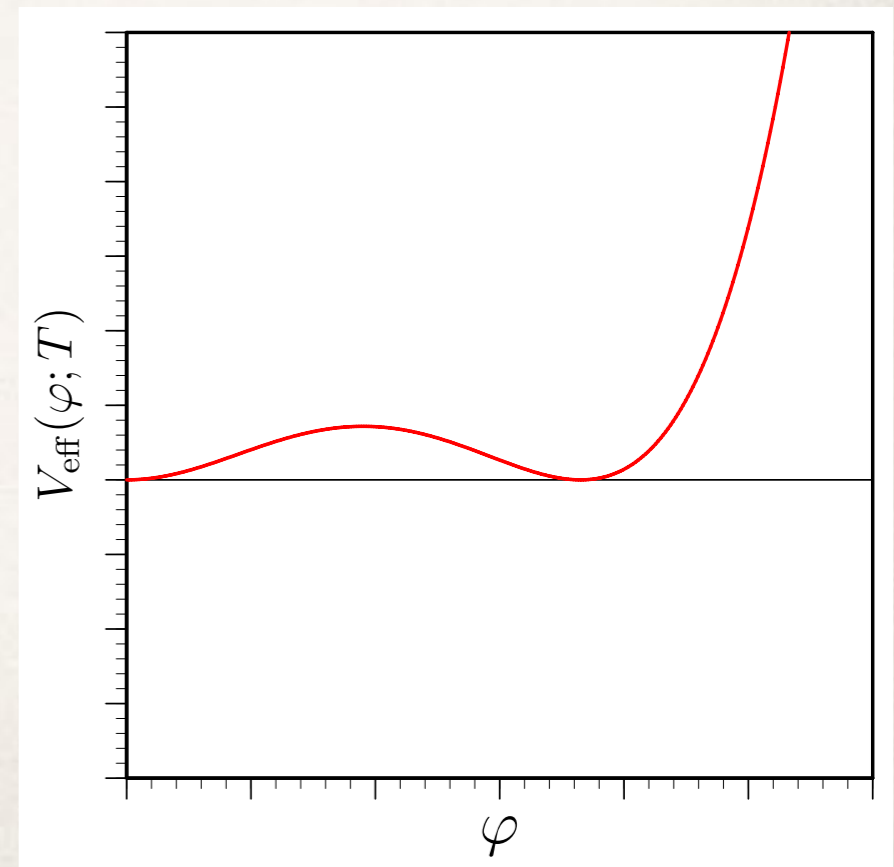
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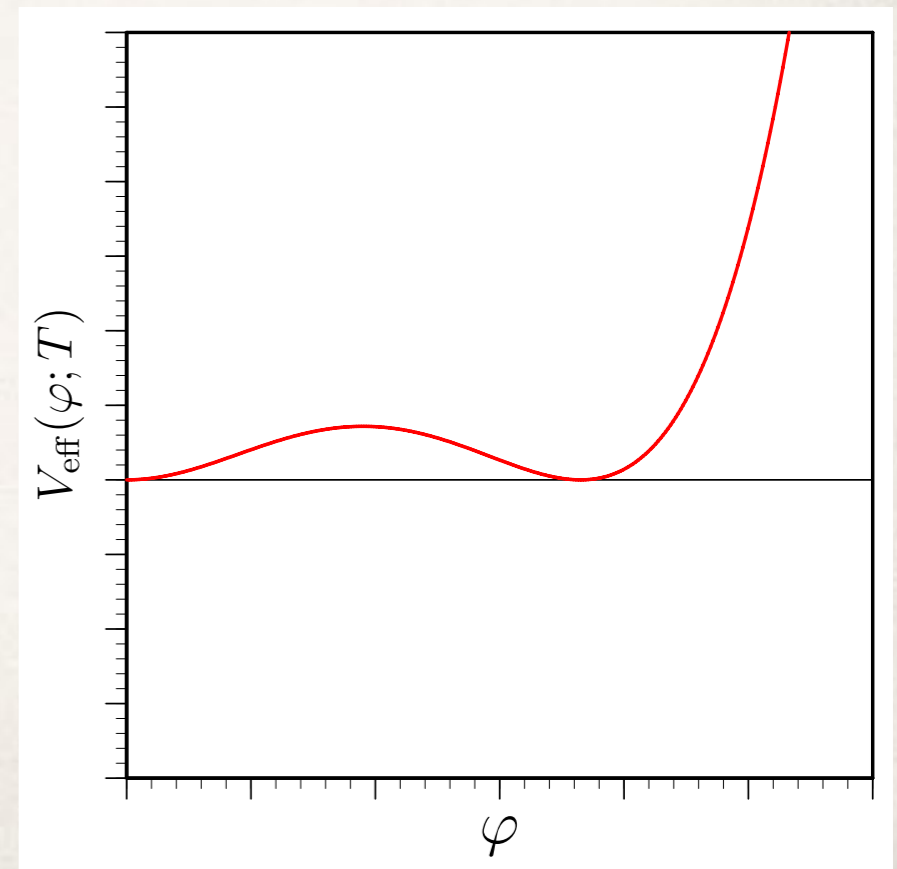
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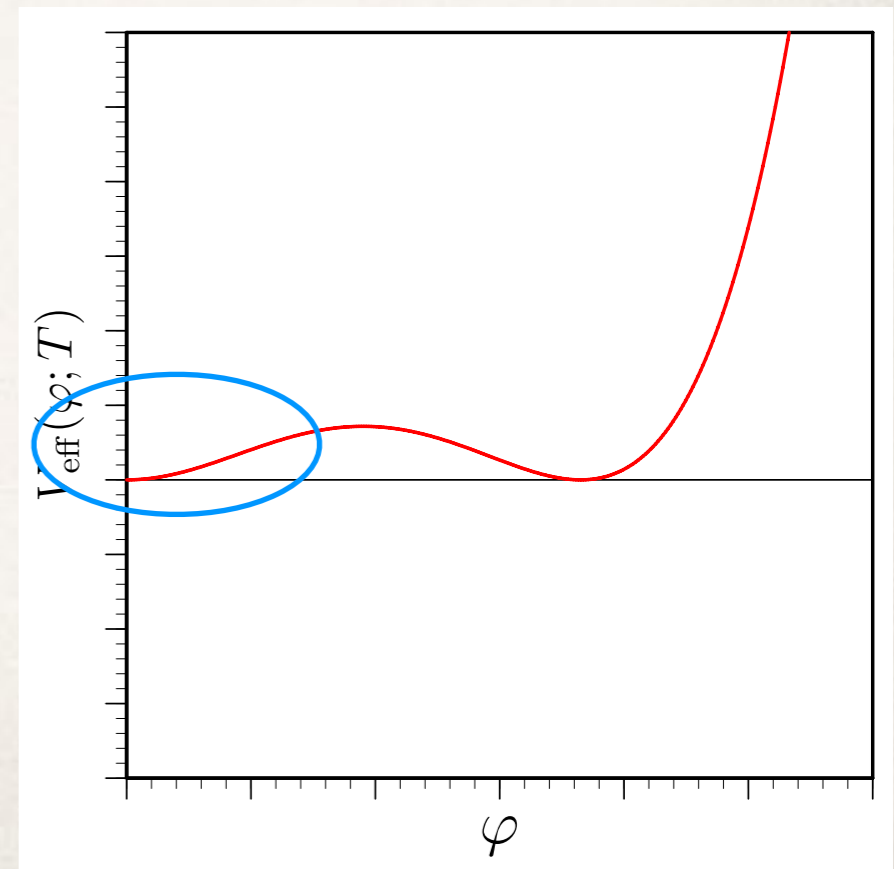
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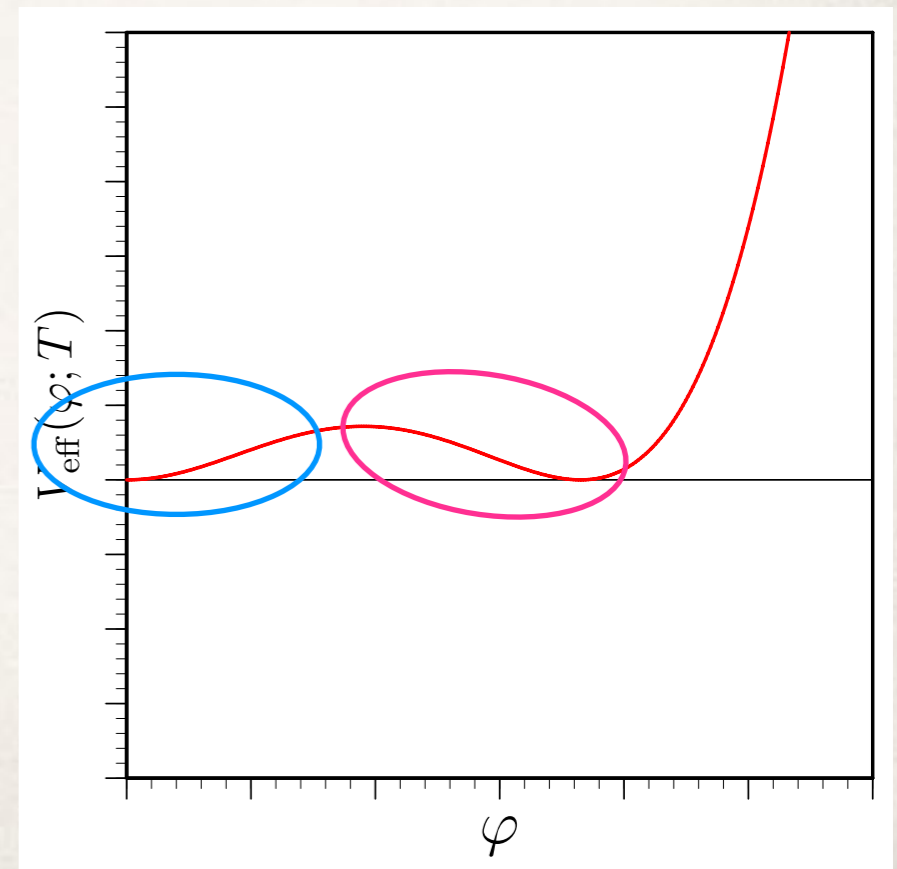
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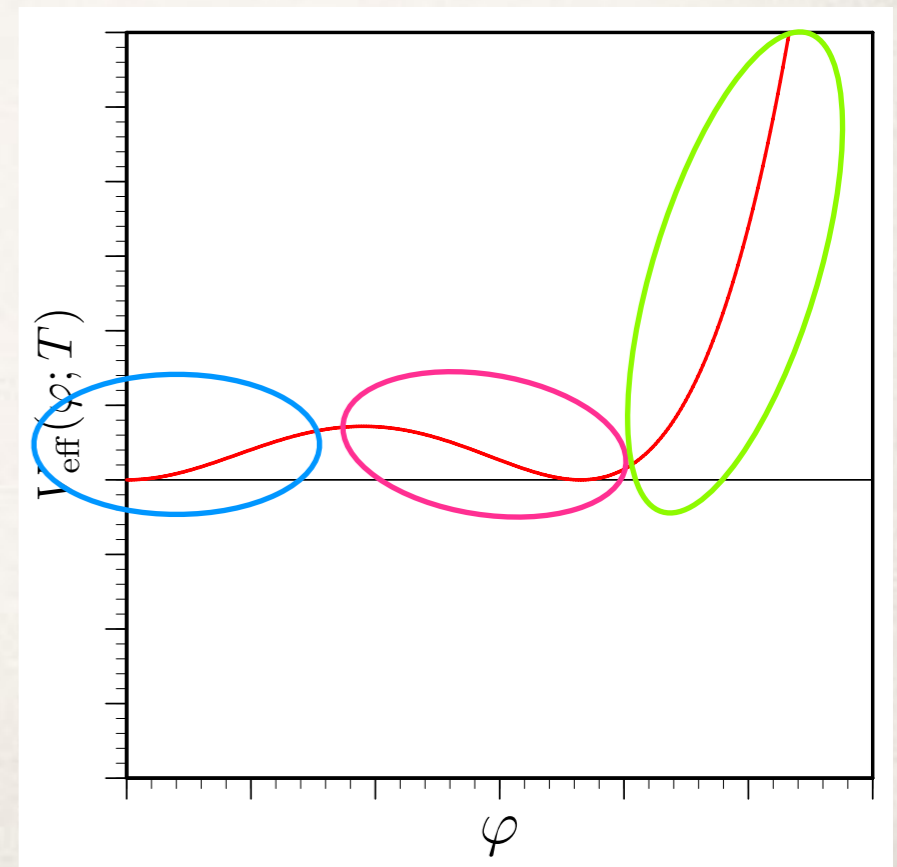
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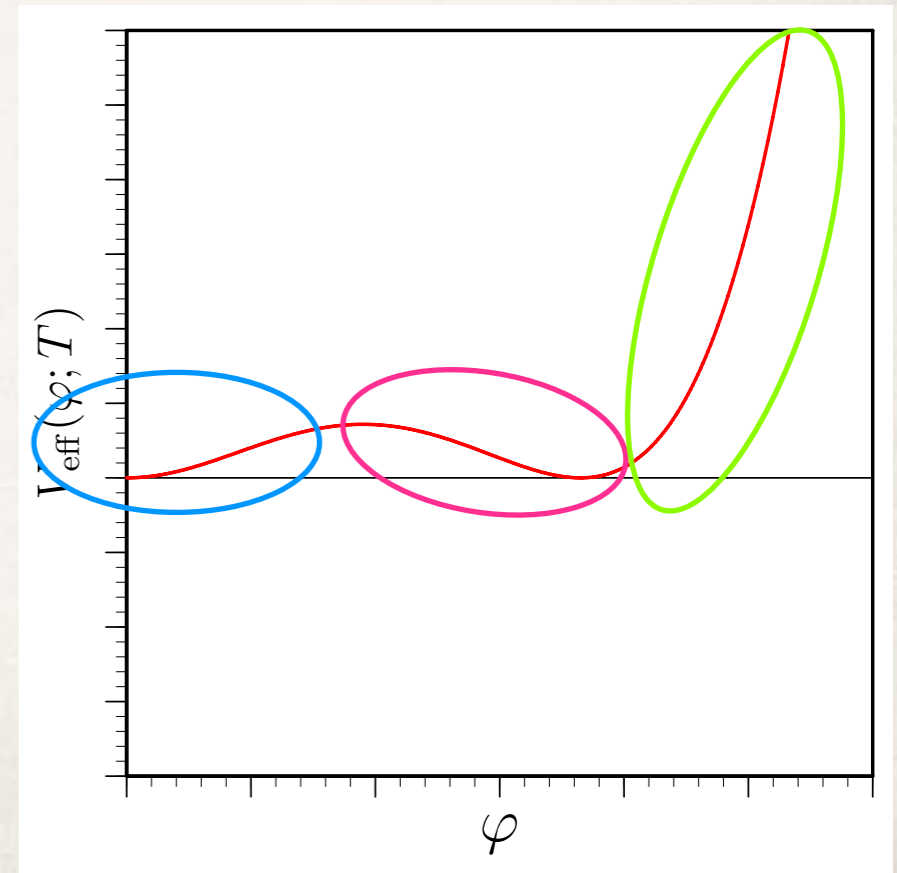
$$\begin{aligned}
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 &= \frac{T^2}{24} (\bar{m}_h^2 + \bar{m}_G^2 + 3\bar{m}_A^2) - \frac{T}{12\pi} \left[(\bar{m}_h^2)^{3/2} + (\bar{m}_G^2 + \xi \bar{m}_A^2)^{3/2} + (3 - \xi^{3/2})(\bar{m}_A^2)^{3/2} \right] \\
 &+ \frac{1}{64\pi^2} \left[\bar{m}_h^4 \ln \frac{\alpha_B T^2}{\bar{\mu}^2} + (\bar{m}_G^2 + \xi \bar{m}_A^2)^2 \ln \frac{\alpha_B T^2}{\bar{\mu}^2} + 3\bar{m}_A^4 \left(\ln \frac{\alpha_B T^2}{\bar{\mu}^2} + \frac{2}{3} \right) - (\xi \bar{m}_A^2)^2 \ln \frac{\alpha_B T^2}{\bar{\mu}^2} \right].
 \end{aligned}$$

“ T^2 -terms” are gauge-independent.

ξ terms disappear if $\bar{m}_G^2 = 0$

$$\begin{aligned}
 V_{\text{eff}}(\varphi, \xi; T) &= V_0(\varphi) + V_1(\varphi, \xi) + V_1(\varphi, \xi; T) \\
 &= D(T^2 - T_0^2)\varphi^2 - ET(\varphi^2)^{3/2} + \frac{\lambda_T}{4}\varphi^4
 \end{aligned}$$

$$\frac{v_C}{T_C} = \frac{2E}{\lambda_T} \quad \leftarrow \text{gauge dependent!!}$$



Thermal resummation

[Many refs: see, e.g., Parwani (92), Buchmüller et al (93), Chiku, Hatsuda (98), etc.]

Perturbative expansion gets worse at high T.

$$\begin{aligned}
 & \text{Loop} \sim g^2 T^2 \\
 & \text{Loop with } n \text{ sub-bubbles} \sim \frac{g^4 T^3}{m} \left(\frac{g^2 T^2}{m^2} \right)^{n-1}
 \end{aligned}$$

Dominant thermal terms are **added** and **subtracted** in the Lagrangian:

$$\begin{aligned}
 \mathcal{L}_B = \mathcal{L}_R + \mathcal{L}_{\text{CT}} \rightarrow & \left[\mathcal{L}_R + \Delta m_S^2 |S|^2 + \frac{1}{2} \Delta m_L^2 Z'^\mu L_{\mu\nu} (i\partial) Z'^\nu + \frac{1}{2} \Delta m_T^2 Z'^\mu T_{\mu\nu} (i\partial) Z'^\nu \right] \\
 & + \left[\mathcal{L}_{\text{CT}} - \Delta m_S^2 |S|^2 - \frac{1}{2} \Delta m_L^2 Z'^\mu L_{\mu\nu} (i\partial) Z'^\nu - \frac{1}{2} \Delta m_T^2 Z'^\mu T_{\mu\nu} (i\partial) Z'^\nu \right]
 \end{aligned}$$

where

$$T_{00} = T_{0i} = T_{i0} = 0, \quad T_{ij} = g_{ij} - \frac{k_i k_j}{-k^2},$$

$$L_{\mu\nu} = P_{\mu\nu} - T_{\mu\nu}, \quad P_{\mu\nu} = g_{\mu\nu} - \frac{k_\mu k_\nu}{k^2},$$

[N.B.] Resummed Lagrangian preserves the gauge invariance.

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$$\text{Bubble} \sim g^2 T^2 \quad \text{Chain of } n \text{ bubbles} \sim \frac{g^4 T^3}{m} \left(\frac{g^2 T^2}{m^2} \right)^{n-1}$$

Dominant thermal terms are **added** and **subtracted** in the Lagrangian:

new unperturbed part

$$\mathcal{L}_B = \mathcal{L}_R + \mathcal{L}_{\text{CT}} \rightarrow \left[\mathcal{L}_R + \Delta m_S^2 |S|^2 + \frac{1}{2} \Delta m_L^2 Z'^\mu L_{\mu\nu} (i\partial) Z'^\nu + \frac{1}{2} \Delta m_T^2 Z'^\mu T_{\mu\nu} (i\partial) Z'^\nu \right]$$

$$+ \left[\mathcal{L}_{\text{CT}} - \Delta m_S^2 |S|^2 - \frac{1}{2} \Delta m_L^2 Z'^\mu L_{\mu\nu} (i\partial) Z'^\nu - \frac{1}{2} \Delta m_T^2 Z'^\mu T_{\mu\nu} (i\partial) Z'^\nu \right]$$

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where

$$T_{00} = T_{0i} = T_{i0} = 0, \quad T_{ij} = g_{ij} - \frac{k_i k_j}{-k^2}, \quad \text{new counterterm}$$

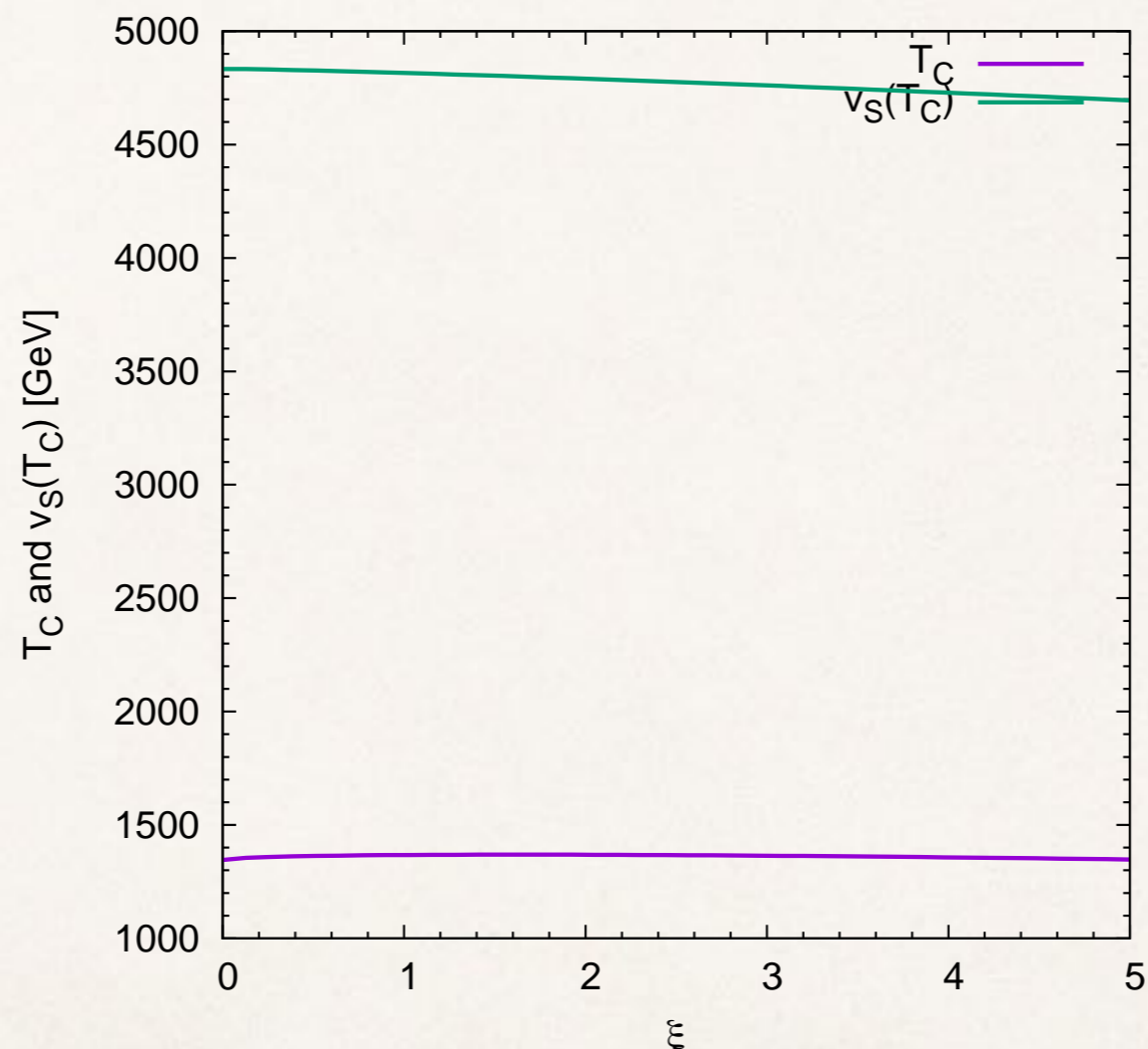
$$L_{\mu\nu} = P_{\mu\nu} - T_{\mu\nu}, \quad P_{\mu\nu} = g_{\mu\nu} - \frac{k_\mu k_\nu}{k^2},$$

[N.B.] Resummed Lagrangian preserves the gauge invariance.

Impact of ξ on v/T

e.g., scale-inv. $U(1)_{B-L}$ model [Cheng-Wei Chiang, E.S., 1707.06765 (PLB)]

$Q'_S = 2$, $\alpha' = g'^2/4\pi = 0.015$, $m_{Z'} = 4.5$ TeV and $m_{\nu_{R1,2,3}} = 1.0$ TeV.

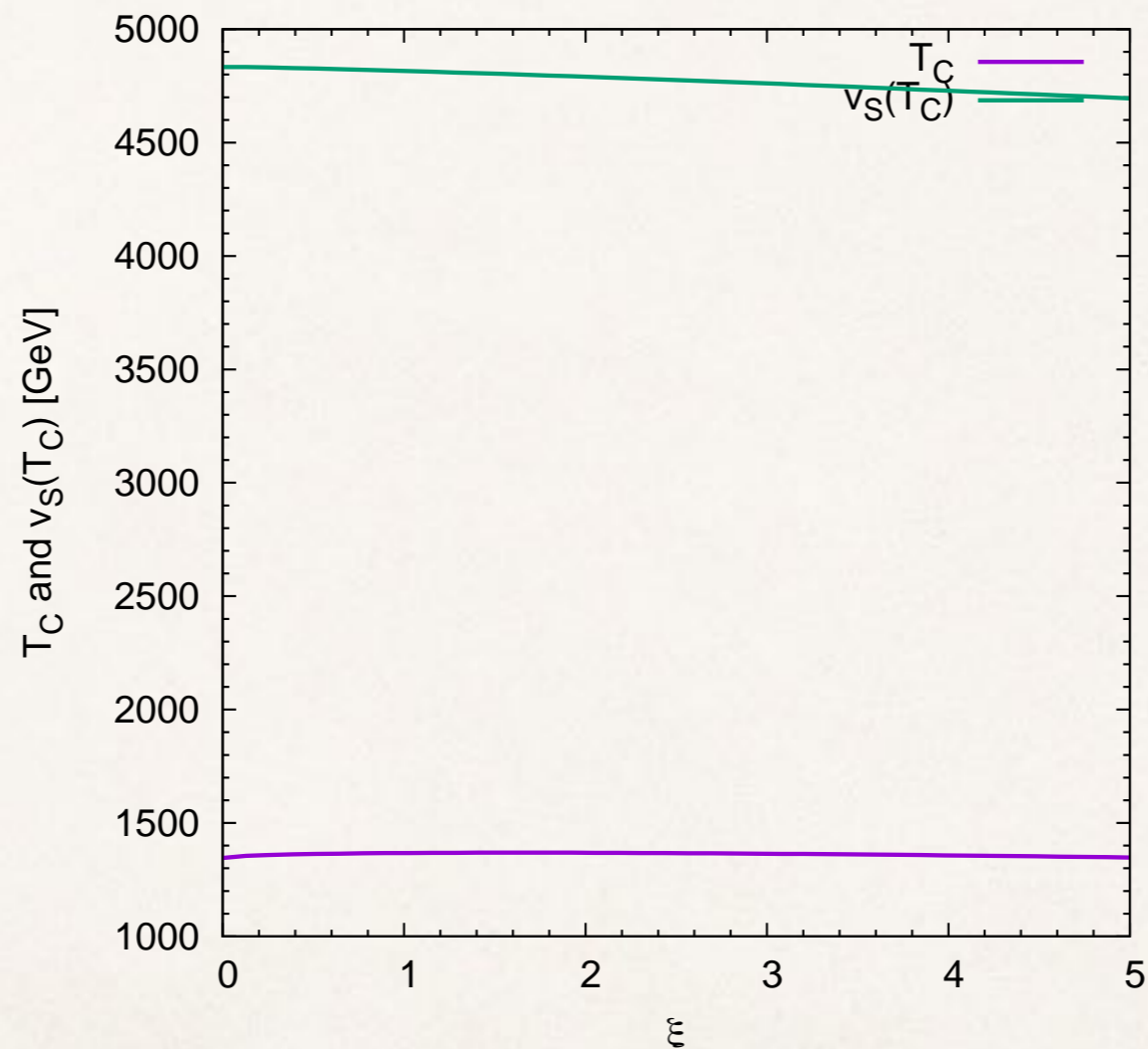


	no resum	$\xi = 0$	$\xi = 1$	$\xi = 5$
$\frac{v_S(T_C)}{T_C}$	$\frac{4.851}{1.321} = 3.67$	$\frac{4.833}{1.346} = 3.59$	$\frac{4.816}{1.368} = 3.52$	$\frac{4.695}{1.348} = 3.48$

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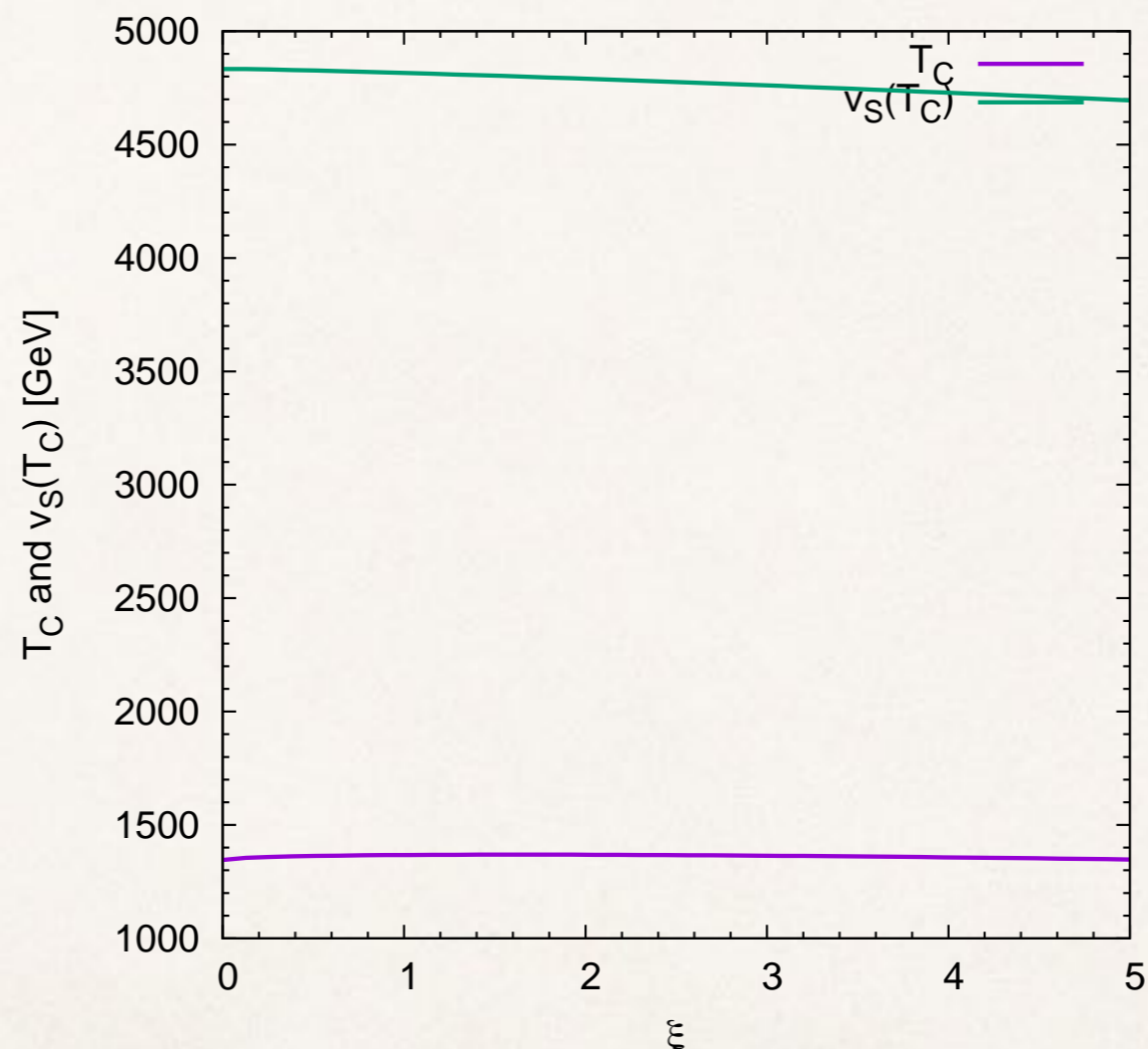


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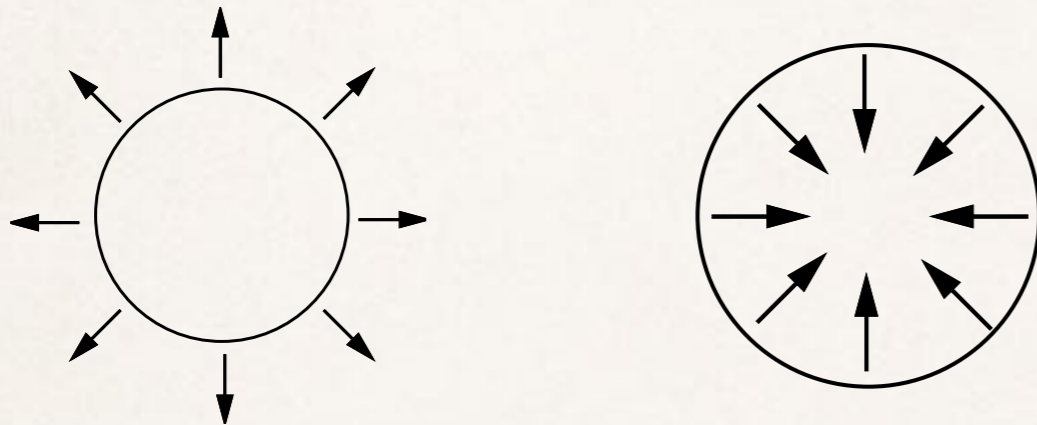


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Onset of PT

- T_c is not onset of the PT.
- Nucleation starts somewhat below T_c .

"Not all bubbles can grow"



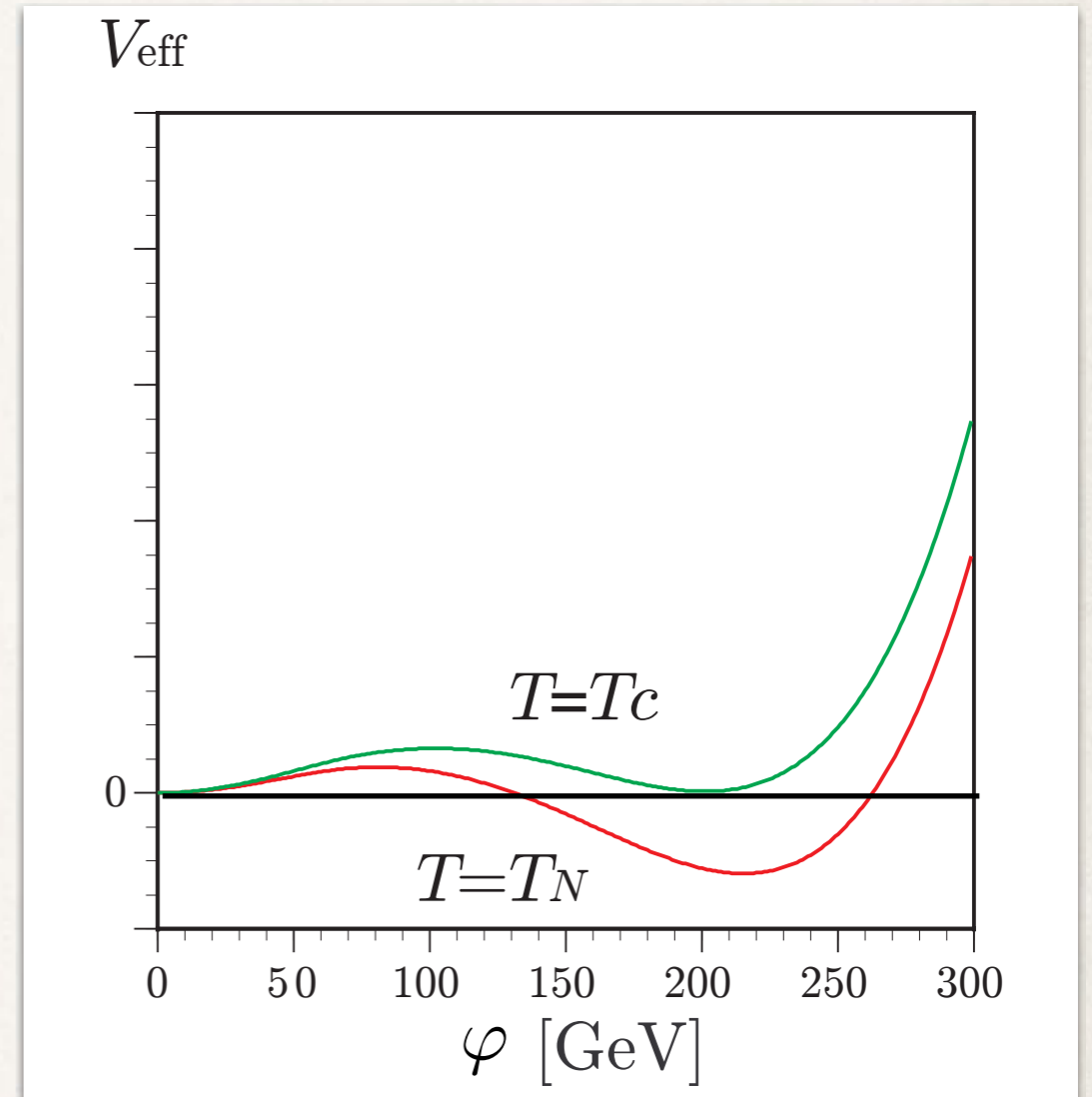
expand? or shrink?

volume energy vs. surface energy

$$\propto (\text{radius})^3$$

$$\propto (\text{radius})^2$$

There is a critical value of radius \rightarrow critical bubble



Nucleation temperature

- Nucleation rate per unit time per unit volume

$$\Gamma_N(T) \simeq T^4 \left(\frac{S_3(T)}{2\pi T} \right)^{3/2} e^{-S_3(T)/T}$$

[A.D. Linde, NPB216 ('82) 421]

$S_3(T)$: energy of the critical bubble at T

- Definition of nucleation temperature (T_N)

horizon scale $\simeq H(T)^{-1}$

$$\Gamma_N(T_N) H(T_N)^{-3} = H(T_N)$$

$$\frac{S_3(T_N)}{T_N} - \frac{3}{2} \ln \left(\frac{S_3(T_N)}{T_N} \right) = 152.59 - 2 \ln g_*(T_N) - 4 \ln \left(\frac{T_N}{100 \text{ GeV}} \right)$$

Roughly, $S_3(T)/T \simeq 150$ is needed for the PT.